

# On the Helly number in the $P_3$ and related convexities for $(q, q - 4)$ graphs

Moisés T. Carvalho

Simone Dantas Mitre C. Dourado 

Daniel Posner

Jayme L. Szwarcfiter 

## Abstract

Let  $G = (V, E)$  be a graph. The  $P_3$ -convex hull (resp.  $P_3^*$ -convex hull) of a set  $C \subseteq V$  is obtained by the iteratively addition of vertices with at least two neighbors (resp. non-adjacent neighbors) in  $C$ . A  $P_3$ -Helly-independent (resp.  $P_3^*$ -Helly-independent) of  $G$  is a set  $S \subseteq V$  such that the intersection of the  $P_3$ -convex hulls (resp.  $P_3^*$ -convex hulls) of  $S \setminus \{v\}$  ( $\forall v \in S$ ) is empty. The  $P_3$ -Helly number (resp.  $P_3^*$ -Helly number) is the size of a maximum  $P_3$ -Helly-independent (resp.  $P_3^*$ -Helly-independent). The edge versions of these two  $P_3$ -Helly-independent follow the same restrictions applied to its edges. The VP3HI, VSP3HI, EP3HI, and ESP3HI problems aim to determine the  $P_3$ -Helly number,  $P_3^*$ -Helly number, edge  $P_3$ -Helly number, and edge  $P_3^*$ -Helly number of a graph, respectively. A graph  $G$  is  $(q, q - 4)$  when every induced subgraph of  $G$  with  $q$  vertices has at most  $q - 4$  paths of size four as induced

---

2000 AMS Subject Classification: 05C75 and 68W40

Key Words and Phrases:  $P_3$  convexity, Helly property,  $(q, q - 4)$  graphs.

This study was financed in part by the *Coordenação de Aperfeiçoamento de Pessoal de Nível Superior - Brasil (CAPES)* - Finance Code 001, by the *Fundação de Amparo à Pesquisa do Estado do Rio de Janeiro - Brasil (FAPERJ)*, and by *Conselho Nacional de Desenvolvimento Científico e Tecnológico - Brasil (CNPq)*

subgraphs. We establish polynomial time algorithms to VP3HI, VSP3HI, EP3HI, and ESP3HI for  $(q, q - 4)$  graphs with fixed  $q$ .

## 1 Introduction

Several concepts concerning variations of convexities on graphs has been established [3, 4]. The interest in these convexities comes from both their central role in many applications and purely theoretical questions. Among such applications, there are those related to distributed systems [8], social networks, and marketing strategies [6]. Moreover, various problems have been dealt considering the Helly property in the past [5]. This paper studies the Helly number in the  $P_3$ -convexity,  $P_3^*$ -convexity, edge  $P_3$ -convexity, and edge  $P_3^*$ -convexity.

Let  $G = (V, E)$  be a graph. The  $P_3$ -convex hull (resp.  $P_3^*$ -convex hull) of a set  $C \subseteq V$  is obtained by the iteratively addition of vertices with at least two neighbors (resp. non-adjacent neighbors) in  $C$ . A  $P_3$ -Helly-independent (resp.  $P_3^*$ -Helly-independent) of  $G$  is a set  $S \subseteq V$  such that the intersection of the  $P_3$ -convex hulls (resp.  $P_3^*$ -convex hulls) of  $S \setminus \{v\}$  ( $\forall v \in S$ ) is empty. The  $P_3$ -Helly number (resp.  $P_3^*$ -Helly number) is the size of a maximum  $P_3$ -Helly-independent (resp.  $P_3^*$ -Helly-independent). A natural variation of the  $P_3$  convexities occurs when we consider the same corresponding concepts of the vertices in the edges of the graph. The VP3HI, VSP3HI, EP3HI, and ESP3HI problems aim to determine the  $P_3$ -Helly number ( $h_{P_3}$ ),  $P_3^*$ -Helly number ( $h_{P_3^*}$ ), edge  $P_3$ -Helly number ( $h'_{P_3}$ ), and edge  $P_3^*$ -Helly number ( $h'_{P_3^*}$ ) of a graph, respectively.

A graph  $G$  is  $(q, q - 4)$  when every induced subgraph of size  $q$  has at most  $q - 4$  induced subgraphs of paths of size four ( $P_4$ ). A graph  $G = (V, E)$  with  $V = K \cup I \cup R$  is a *thin spider* (resp. *thick spider*) when  $|K| = |I| \geq 2$  (resp.,  $|K| = |I| \geq 3$ ),  $K$  induces a clique,  $I$  is a stable set, there is a join between vertices of  $K$  and  $R$ , and there is a one-to-one relation between vertices of  $K$  and  $I$  which gives the  $|K|$  edges between  $I$  and  $K$  (resp. which gives the  $|K|$  non-edges between  $I$  and  $K$ ). Babel and Olariu [1]

showed that a  $(q, q - 4)$  graph  $G$  is structurally quite rich in the sense that  $G$  is always: (i) the union or the join of two  $(q, q - 4)$  graphs; (ii) a spider such that  $G[R]$  is a  $(q, q - 4)$  graph; (iii) a graph with a separable  $p$ -component  $H \subseteq V(G)$  ( $H = H_1 \cup H_2$ ) with  $|V(H)| \leq q$  such that  $G \setminus H$  is a  $(q, q - 4)$  graph, there is a join between vertices of  $G \setminus H$  and  $H_1$  and there is no edges between vertices of  $G \setminus H$  and  $H_2$  or; (iv) a graph with at most  $q$  vertices (which can be  $V(G) = \emptyset$ ).

Our contributions in the present work concern to settle the computational complexity of the VP3HI, VSP3HI, EP3HI, and ESP3HI for  $(q, q - 4)$  graphs. We succeeded to show an FPT (fixed parameter tractable) polynomial time algorithms for these problems when  $q$  is a constant.

## 2 Union and Join of graphs

Since, in the considered convexities, a vertex of a connected component cannot be in the convex hull of a set of vertices contained in a different connected component, the parameters are given as the sum of the parameters of its connected components. Moreover, when the parameters are bounded by a constant, we may test which possible combinations of sets of vertices (or edges) respect the  $P_3$ -convexity with the Helly property and determine the largest size among them in polynomial time. As a remark, when  $|V(G)|$  is a constant, we may also obtain the parameters in polynomial time by brute force. Hereinafter we only consider connected graphs without trivial small values of the parameter.

Carvalho et al. [2] established the computational complexity of VP3HI, VSP3HI, EP3HI, and ESP3HI for subclasses of bipartite graphs, split graphs, and join of graphs. The following property about the edge  $P_3^*$  Helly number of a graph  $G$  plays a central role in our proofs:  $h'_{P_3^*} = |V(G)| - s_t(G)$ , where  $s_t(G)$  is the least number of vertex disjoint stars subgraphs to partition  $V(G)$  such that the centers of the stars with at least three vertices are non-adjacent [2]. Carvalho et al. [2] also point out two useful forbidden configurations to a  $P_3$ -Helly-independent  $S$  of a

graph  $G$ : **(Forbidden 1)** three vertices of  $S$  adjacent to a same vertex of  $G$ ; and **(Forbidden 2)** three vertices  $x, y$  and  $z$  of  $S$  such that  $xyz$  is a  $P_3$  subgraph of  $G$ . Note that **(Forbidden 1)** and **(Forbidden 2)** are also forbidden configurations for a  $P_3^*$ -Helly-independent if we consider the vertices to be an induced star of size four or induced path of size three. Lastly, in order to establish the values of the parameters for the join graph  $G = G_1 \wedge G_2$  of  $(q, q - 4)$  graphs, we refer the following results of Carvalho et al [2] for any join of graph  $G$ : (i)  $h_{P_3}(G) \leq 2$ ; (ii)  $h_{P_3^*}(G) = \max\{\omega(G_1) + \omega(G_2), h_{P_3^*}(G_1 \wedge K_1), h_{P_3^*}(G_2 \wedge K_1)\}$ ; (iii)  $h'_{P_3}(G) = \max\{\beta^*(G_1), \beta^*(G_2)\}$ ; (iv)  $h'_{P_3^*}(G) = \max\{|V(G_1)| + h'_{P_3^*}(G_2), |V(G_2)| + h'_{P_3^*}(G_1)\}$ . Note that  $h_{P_3^*}(H \wedge K_1)$  of a  $(q, q - 4)$  graph  $H$  is given by the size of a maximum induced complete bipartite graph in  $H^c$  (which are also a  $(q, q - 4)$  graph). Using the polynomial-time algorithms to determine the size of a maximum complete subgraph  $\omega$  and the size of a maximum induced complete bipartite subgraph for  $(q, q - 4)$  graphs (which can be trivially constructed using its structural decomposition [1]) and the algorithm to determine  $\beta^*(G)$  for  $(q, q - 4)$  graphs [7], we are able to obtain the  $P_3$ -Helly parameters in polynomial time for join of graphs.

### 3 Spider graphs

Let  $G = (V, E)$  be a spider graph with  $V = I \cup K \cup R$ .

#### Thin spiders

**( $P_3$ -Helly-independent  $S$ )** If there are three vertices of  $K \cup R$  in  $S$ , then we have a **Forbidden 1** or **Forbidden 2** configuration. Moreover, if there are two vertices of  $K \cup R$  in  $S$  and a vertex of  $I$  in  $S$ , then we have a **Forbidden 1** or **Forbidden 2** configuration. Therefore,  $h_{P_3}(G) = |I| + 1$  where  $S$  is composed by all vertices of  $I$  and one vertex of  $K \cup R$ .

**( $P_3^*$ -Helly-independent  $S^*$ )** If there is no vertex of  $K \cup I$  in  $S^*$ , then  $h_{P_3^*}(G) = h_{P_3^*}(G[R] \wedge K_1)$ , which is the size of a maximum induced complete bipartite subgraph on the complement graph of  $G[R]$ . If there are two non-adjacent vertices of  $R$  and a vertex of  $I \cup K$  in  $S^*$ , then we have a

**Forbidden 1** or **Forbidden 2** configuration. Moreover, if there is a vertex  $x \in R$  in  $S^*$  and two adjacent vertices  $y \in K$  and  $z \in I$  in  $S^*$ , then we have a **Forbidden 2** configuration. Therefore, if there are one or more pairwise adjacent vertices of  $R$  in  $S^*$ , then we can only have at most  $K$  vertices of  $K \cup I$  in  $S^*$  and such  $S^*$  exists with  $|S^*| = |K| + \omega(G[R])$ , where it is composed by the vertices of a maximum clique of  $G[R]$  and the vertices of  $K$ . Lastly, if there is no vertex of  $R$  in  $S^*$ , then  $|S^*| \geq |I| + 1$  and such  $S^*$  exists, where it is composed by the vertices of  $I$  and one vertex of  $K$ . For the sake of contradiction, assume there is no vertex of  $R$  in  $S^*$  and  $|S^*| \geq |I| + 2$ , then there is two adjacent vertices  $x \in K$  and  $y \in I$  in  $S^*$  and at least another vertex  $z \in K$  in  $S^*$  which form a **Forbidden 2** configuration contradiction. Therefore,  $h_{P_3^*} = \max\{|K| + \omega(G[R]), |I| + 1, h_{P_3^*}(G[R] \wedge K_1)\}$ . (**edge  $P_3$ -Helly-independent  $M$** ) Due to nature of thin spiders, the edge  $P_3$ -convex hull of a set reaches all edges when: (i) there are two edges in the set with both endpoints in vertices of  $K \cup I$ ; (ii) there are an edge with both endpoints in  $K \cup I$  and other edge with both endpoints in  $R$  in the set or; (iii) there are two edges with both endpoints in vertices of  $R$  in the set and other edge (not necessarily in the set) sharing endpoints with both these edges. Therefore,  $h'_{P_3}(G) = \max\{2, \beta^*(G[R])\}$ , where  $M$  is any two edges or a maximum induced matching of  $G[R]$  (the induced subgraph of  $G$  by the vertices of  $R$ ). Note that we obtain  $\beta^*$  for the  $(q, q - 4)$  graph  $G[R]$  [7] in polynomial time.

(**edge  $P_3^*$ -Helly-independent  $M^*$** ) Recall that  $h'_{P_3^*} = |V(G)| - s_t(G)$ .

To partition the vertices of  $G$  in  $|I|$  vertex disjoint star subgraphs we take a vertex  $x$  of  $K$  as the center of a star with all other vertices of  $K \cup R$  and one vertex of  $I$  as their leaves, and others  $|I| - 1$  vertices of  $I$  as one vertex stars. This is the best possible, since we have the additional restriction to forbid two centers of stars in  $K \cup R$  with degree two or more. Therefore,  $h'_{P_3^*} = |V(G)| - s_t(G) = |V(G)| - |I|$  where  $M^*$  is composed by the edges of the star centered in the vertex  $x$ .

### Thick spiders

( **$P_3$ -Helly-independent  $S$** ) Due to the nature of a thick spider, any

three vertices of  $S$  imply a **Forbidden 1** or **Forbidden 2** configuration. Therefore,  $h_{P_3}(G) = 2$  where  $S$  is composed by any two vertices of  $G$ .

**( $P_3^*$ -Helly-independent  $S^*$ )** By a similar argument of thin spiders, we have  $h_{P_3^*} = \max\{|K| + \omega(G[R]), |I|, h_{P_3^*}(G[R] \wedge K_1)\}$ . The proof only differs when there is no vertex of  $R$  in  $S^*$ . In this case,  $|S^*| = |I|$  instead of  $|I| + 1$

**(edge  $P_3$ -Helly-independent  $M$ )** The same argument for thin spiders holds.

**(edge  $P_3^*$ -Helly-independent  $M^*$ )** Recall that  $h'_{P_3^*} = |V(G)| - s_t(G)$ . Since  $G$  has no universal vertex,  $s_t(G) \geq 2$ . To partition the vertices of  $G$  in two vertex disjoint star subgraphs we take a vertex  $x$  of  $K$  as a star with  $|K| + |R| + |I| - 2$  leaves and the vertex  $y$  of  $I$  no adjacent to  $x$  as an one vertex star. Therefore,  $h'_{P_3^*}(G) = |V(G)| - 2$  where  $M^*$  is composed by the edges incidents to  $x$ .

## 4 $(q, q - 4)$ graphs with separable $p$ -component

**( $P_3$ -Helly-independent  $S$ )** Since there is a join between the vertices of  $G \setminus H$  and  $H_1$ , there are at most two vertices of  $G \setminus H_2$  in  $S$ . Moreover,  $|H| = |H_1 \cup H_2|$  is a constant  $q$ . Thus, there are  $O(|V(G)|^2)$  combinations of at most two vertices in  $G \setminus H_2$  and  $O(2^q) = O(1)$  subsets of vertices of  $H_2$ . One can combine these two sets obtaining a new one with  $O(2^q|V(G)|^2)$  elements. For each one, we test if the resulting combinations are indeed a  $P_3$ -Helly-independent. The size of the valid combination servers as a witness to a lower bound of the parameter  $h_{P_3}(G)$ . At the end,  $h_{P_3}(G)$  is the largest size among these valid combinations.

**( $P_3^*$ -Helly-independent  $S^*$ )** When there is no vertex of  $G \setminus H$  in  $S^*$  we obtain  $M_1$ , the largest size of a subset of vertices of  $H_1 \cup H_2$  that are  $P_3^*$ -Helly-independent, by testing all  $O(2^q) = O(1)$  possible subsets. When there is no vertex of  $H_1 \cup H_2$  in  $S^*$  we obtain  $M_2$  as  $h_{P_3^*}((G \setminus H) \wedge K_1)$  that are the size of a maximum induced complete bipartite graph of the  $(q, q - 4)$  graph  $(G \setminus H)^c$  (the complement graph of  $G \setminus H$ ). When there is no

vertex of  $H_1$  in  $S^*$ , since every vertex of  $H_2$  is adjacent to a vertex of  $H_1$ , two non-adjacent vertices of  $(G \setminus H) \in S^*$  and a vertex of  $H_2 \in S^*$  or two non-adjacent vertices of  $H_2 \in S^*$  and a vertex of  $(G \setminus H) \in S^*$  would imply a **Forbidden 1** configuration. Then, we obtain  $M_3 = \omega(G \wedge H) + \omega(H_2)$ . Otherwise, we test all possible subsets of  $H$  with at least one vertex of  $H_1$ , for each of them we add a maximum clique of  $G \wedge H$  in  $S^*$  and verify if they are a valid  $P_3^*$ -Helly-independent, in the end, we obtain  $M_4$  as the maximum among their sizes. Therefore,  $h_{P_3^*}(G) = \max\{M_1, M_2, M_3, M_4\}$ .

**(edge  $P_3$ -Helly-independent  $M$ )** If the edges of  $M$  have no endpoint in  $G \setminus H$ , it is easy to test which  $O(2^{q^2})$  subsets of edges of  $H$  are edge  $P_3$ -Helly-independent of  $G$  and take the largest size among them as  $M_5$ . Otherwise, due to the nature of the  $p$ -separable components, the edge  $P_3$ -convex hull of the following sets of edges reaches all edges of  $G$ : (i) sets that contains two edges  $e_1$  and  $e_2$  of  $M$ , where  $e_1 = uv$  with  $u \in G \setminus H$  and  $v \in G \setminus H_2$ , and  $e_2 = xy$  with  $x \in H_1$  and  $y \in H$ ; (ii) sets that contains edges  $e_1$  and  $e_2$  with both endpoints in  $G \setminus H$  and there is another edge (not necessarily in the set) which shares one endpoint with  $e_1$  and the other with  $e_2$ ; (iii) sets that contains an edge  $e_1$  with an endpoint in  $G \setminus H$  and two edges  $e_2$  and  $e_3$  with both endpoints in  $H_2$  such that there exists another edge  $e_4$  (not necessarily in the set) which shares one endpoint with  $e_2$  and the other with  $e_3$ . Therefore,  $h'_{P_3}(G) = \max\{2, \beta^*(G \wedge H) + \beta^*(G[H_2]), M_5\}$ .

**(edge  $P_3^*$ -Helly-independent  $M^*$ )** There are  $O(2^{q^2}) = O(1)$  subsets of edges of  $H$ . For each one of these, we can test if they are a partition of the vertices in stars. When there is a star centered in  $H_1$  with degree more than two or a star with degree one or zero in  $H_1$  not adjacent to a star with degree more than two in  $H_2$ , we can extend this star and add all edges between its center and the vertices of  $G \setminus H$ , considering the sum of these two values as a lower bound to  $h'_{P_3^*}(G)$ . Otherwise, the lower bound is given by the sum of the number of edges of this set and  $h'_{P_3^*}(G \setminus H)$ . At the end,  $h'_{P_3^*}(G)$  is given by the largest size among these sets.

## 5 Final Remarks

In this work we manage to show that VP3HI, VSP3HI, EP3HI, and ESP3HI are in  $\mathcal{P}$  for  $(q, q - 4)$  graphs with fixed  $q$ . Our approach to accomplish this directly lies on the structural characterization of  $(q, q - 4)$  graphs given by Babel and Olariu [1]. Particularly,  $(4, 0)$  graphs are also known as *cographs* and  $(5, 1)$  are the  $P_4$ -sparse [1]. As a consequence, VP3HI, VSP3HI, EP3HI, and ESP3HI are in  $\mathcal{P}$  for cographs and  $P_4$ -sparse. We invite the readers to check the modifications required to adapt our algorithm for  $(q, q - 4)$  graphs to  $P_4$ -tidy, a superclass of  $P_4$ -sparse. Informally, we only need to deal with one new case, the *quasi-spiders* (that are spiders for which we can add one true twin or false twin to one vertex of  $K$  or  $I$ ). Such adaptation is quite natural for  $P_4$ -tidy, but it is not so obvious for others superclasses of  $P_4$ -lite (the  $P_4$ -tidy which are also perfect graphs). Therefore, further investigations are required for the following hierarchy of nested superclasses of  $P_4$ -lite:  $P_4$ -laden, split-perfect, and brittle.

## References

- [1] L. Babel and S. Olariu. On the structure of graphs with few  $P_4$ s. *Discrete Applied Mathematics*, 84(1):1–13, 1998.
- [2] M. Carvalho, S. Dantas, M. Dourado., J. Szwarcfiter, and D. Posner. On the computational complexity of the helly number in the  $P_3$  and related convexities. *Electron. Notes Theor. Comput. Sci. (accepted)*, 2019.
- [3] E. M. M. Coelho, M. C. Dourado, D. Rautenbach, and J. L. Szwarcfiter. The Carathéodory number of the  $P_3$ -convexity of chordal graphs. *Discrete Applied Mathematics*, 172:104–108, 2014.



- [4] M. C. Dourado, F. Protti, and J. L. Szwarcfiter. On the complexity of the geodetic and convexity numbers of a graph. *Journal of the Ramanujan Mathematical Society*, 7:101–108, 2008.
- [5] M. C. Dourado, F. Protti, and J. L. Szwarcfiter. Complexity aspects of the helly property: Graphs and hypergraphs. *ELECTRON. J. COMB.*, DS17, 2009.
- [6] D. Kemp, J. Kleinberg, and E. Tardos. Maximizing the spread of influence through a social network. *Proceedings of ACM SIGKDD*, 1:137–146, 2003.
- [7] D. Kobler and U. Rotics. Finding maximum induced matchings in subclasses of claw-free and  $p_5$ -free graphs, and in graphs with matching and induced matching of equal maximum size. *Algorithmica*, 37:327–346, 2003.
- [8] D. Peleg. Local majorities, coalitions and monopolies in graphs. *Theor. Comput. Sci.*, 282:213–257, 2002.

Moisés T. Carvalho  
 Instituto Benjamin Constant  
 Rio de Janeiro, Brazil  
 moises.ifrj@gmail.com

Simone Dantas  
 Instituto de Matemática e Estatística  
 UFF  
 Niterói, Brazil  
 sdantas@id.uff.br

Mitre C. Dourado<sup>1</sup>, Daniel Posner<sup>2</sup>

<sup>1</sup>Instituto de Matemática

<sup>2</sup>PESC COPPE

UFRJ

Rio de Janeiro, Brazil.

mitre@dcc.ufrj.br,

ner@cos.ufrj.br

Jayme L. Szwarcfiter

Instituto de Matemática

PESC COPPE

UFRJ

Instituto de Matemática e Estatística

UERJ

Rio de Janeiro, Brazil

jayme@nce.ufrj.br

pos-