

# SOLVING CERTAIN GROUP EQUATIONS IN $PGL(2, k)$ – A COMPUTATIONAL APPROACH

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## 1. Introduction

Let  $F_2$  be the free group of rank 2, freely generated by  $x, y$ . Let  $k \in \mathbb{N}$ ,  $i_1, \dots, i_k, j_1, \dots, j_k$  integers different from zero,

$$\omega(x, y) = x^{i_1} y^{j_1} \dots x^{i_k} y^{j_k}$$

element an of  $F_2$ , and

$$G = \langle x, y \mid \omega(x, y) \rangle$$

the quotient group of  $F_2$  by the normal closure of  $\omega(x, y)$  in  $F_2$ . The questions we treat here fall under the following general problem.

**Problem.** Describe all representations (or projective representations)

$$\rho_k : G \rightarrow GL(2, K) \quad (\text{or } PGL(2, K))$$

over a variable field  $K$ . In particular, determine those  $\rho_K$  for which  $\rho_K(G)$  is a nonsolvable group.

The abelianized group  $\bar{G} = G/G'$ , is nontrivial and has faithful representations in  $GL(2, K)$  for appropriate fields  $K$  depending upon the type of  $\bar{G}$ .

Let us fix some notation: (conjugate)  $x^y = y^{-1}xy$ , (commutator)  $[x, y] = x^{-1}y^{-1}xy$ , (iterated commutator)  $[x, ky] = [[x, (k-1)y], y]$ .

Two groups studied by G. Baumslag define two extremes of the problem.  
 The first

$$\langle x, y \mid [x, y] = x \rangle$$

is isomorphic to a subgroup of  $GL(2, \mathbf{Q})$  through

$$x \rightarrow \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}, \quad y \rightarrow \begin{pmatrix} 2 & 0 \\ 0 & 1 \end{pmatrix}.$$

On the other hand, the second group

$$\langle x, y \mid [x, x^y] = x \rangle$$

has a derived group which is not finitely generated. Also, all its finite quotients are cyclic; (see [1]).

It follows from a theorem of Mal'cev [6] that all images by linear representations of this group are also cyclic.

We refer the reader to W. Magnus [5] in which presentations into  $PGL(2, \mathbf{C})$  of diverse classes such as Knot and Fuchsian groups are reviewed, and a survey is given of Fricke characters.

In this lecture we describe complete solutions of group equations of the type

$$[x^y, kx] = x^{y^{-1}} \quad (k = 1, 2, 3)$$

and of

$$[x^{y^{-1}}, x^y] = x,$$

mainly in  $PGL(2, K)$ . These solutions were obtained with the help of the algebraic software MAPLE [7] complemented with a package of subroutines which were prepared by Christoph Seidler during 1988-89 with a scholarship from CNPq.

## 2. The Group Equations

The second group of Baumslag belongs to

$$\mathcal{L}_y(n; i, j) = \langle x, y \mid x^n = e, [x^{y^i}, x^{y^j}] = x \rangle$$

which was used by R. Lyndon as a test for the Kervaire Lauderbach problem [4].

The group  $\mathcal{L}_y(n; -1, 1)$  is an overgroup of the groups

$$\mathcal{VE}(m, n) = \langle x, y \mid y^m = x^n = e, [x^{y^{-1}}, x^y] = x, [x^y, x] = e \rangle.$$

$\mathcal{VE}$  stands for “verbal embedding” or codification of the ring  $\mathbf{Z}_n$  within the group; see [2]. The linear group  $SL(3, \mathbf{Z}_n)$  satisfies the conditions of  $\mathcal{VE}(6, n)$  due to the existence of an automorphism  $\eta$  of order 6 such that

$$E_{12}(\alpha)^n = E_{13}(\alpha), \quad E_{13}(\alpha)^n = E_{23}(\alpha),$$

and to the well-know facts

$$[E_{12}(\alpha), E_{23}(\beta)] = E_{13}(\alpha\beta), \quad [E_{23}(\alpha), E_{13}(\beta)] = I.$$

Another class of groups generalize two groups proposed by H. Heineken; these have their origin in *variety of groups* problems. We define

$$\text{Hein}(n, k) = \langle x, y \mid y^n = 1, [x^y, kx] = x^{y^{-1}} \rangle.$$

Clearly,  $\text{Hein}(n, k)$  has as a quotient group the cyclic group of order  $n$ , by setting  $x = e$ .

It is known that  $\text{Hein}(3, 1)$  is cyclic of order three, whereas the finiteness of  $\text{Hein}(3, 2)$  is still open. Finite quotients of the latter group were investigated by J. Neubüser, and its  $SL(2, -)$  representation by Schönert and Sidki (see [8]).

The group theory software SPAS [9] provides a “deep view” of the group  $H_1 = \text{Hein}(0, 1)$ . It has a unique normal subgroup  $N$  such that  $H_1/N \cong SL(2, 5)$ . In addition,  $N/N'$  is isomorphic to

$$\mathbf{Z}^4 \times \mathbf{Z}_2^4 \times \mathbf{Z}_4 \times \mathbf{Z}_8^4 \times \mathbf{Z}_{13}^6.$$

### 3. The Computational Approach

We define the ring  $R = \mathbf{Z}[s, s^{-1}, x_{ij}, y_{ij}]$ ,

$$\lambda : x \rightarrow (x_{ij})(:= X), \quad y \rightarrow (y_{ij})(:= Y).$$

Then,  $\lambda$  extends to a  $PGL(2, -)$  representation when the equation

$$\omega(X, Y) = (\omega_{ij}) = sI$$

is satisfied. That is, if and only if,  $s, x_{ij}, y_{ij}$  are solutions of the system of equations

$$s = \omega_{11}, \quad \omega_{11} = \omega_{22}, \quad \omega_{12} = \omega_{21} = 0;$$

The trivial representation corresponds to the solution

$$s = 1, \quad x_{ii} = y_{ii} = 1 \quad (i = 1, 2), \quad x_{ij} = y_{ij} = 0 \quad \text{for } i \neq j.$$

On considering  $\mathcal{T}$ , the ideal of  $R$  generated by

$$\{s - \omega_{11}, \quad \omega_{11} - \omega_{12}, \quad \omega_{12}, \quad \omega_{21}\}$$

the problem translates immediately to that of understanding the quotient ring  $\bar{R} = R/\mathcal{T}$ . On defining

$$\bar{s} = \mathcal{T} + s, \quad \bar{x}_{ij} = \mathcal{T} + x_{ij}, \quad \bar{y}_{ij} = \mathcal{T} + y_{ij}, \quad \bar{X} = (\bar{x}_{ij}), \quad \bar{Y} = (\bar{y}_{ij})$$

the representation

$$\lambda : G \rightarrow PGL(2, \bar{R})$$

where  $x \rightarrow \bar{x}$ ,  $y \rightarrow \bar{y}$  becomes the "universal"  $PGL_2$ -representation of  $G$ .

In order to diminish the number of variables, we look for solutions in algebraically closed fields  $K$ , without previously fixing such fields. This restriction eliminates the Jacobson radical of  $\bar{R}$ , and thus also the corresponding normal nilpotent subgroup

$$\lambda(G) \cap (I + \mathcal{M}_{2 \times 2}(J(\bar{R}))) \quad (\text{mod. scalars}).$$

The restriction allows us to find simple representatives of the conjugacy classes of the pairs  $(X, Y)$ ,

$$\{(c_1 X^u, c_2 Y^u) \mid u \in GL(2, K), \quad c_1, c_2 \in K^\#\}.$$

Such representatives  $(X_0, Y_0)$  can be chosen to satisfy

$$X_0 \in \left\{ \begin{pmatrix} \xi & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix} \right\},$$

$$Y_0 \in \{Y^{\mathcal{U}} \mid \det(Y) = 1, \mathcal{U} \in GL_2(K), \mathcal{U}X_0 = X_0\mathcal{U}\}.$$

In addition, since we are interested in non-solvable quotients of  $G$ , the condition  $y_{12} \neq 0$  should hold. Therefore the representatives are simply

$$X_0 = \begin{pmatrix} \xi & 0 \\ 0 & 1 \end{pmatrix}, \quad Y_0 = \begin{pmatrix} y_{11} & 1 \\ y_{11}y_{22} - 1 & y_{22} \end{pmatrix}, \quad \text{with } y_{11}y_{22} \neq 1,$$

or

$$X_0 = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}, \quad Y_0 = \begin{pmatrix} y_{11} & y_{12} \\ y_{12}^{-1} & 0 \end{pmatrix}.$$

#### 4. Solutions of two matrix equations

With the purpose of dealing with the group equations discussed above we have found *all* solutions of the following system

$$[X, Z] = rI, \quad [W, X]^Y = sZ \quad (r, s \in K^\#).$$

We consider equivalent the solutions

$$(r, s, X, Z, Y, W), \quad (r, ss_2^{-1}, s_1X, s_2Z, Y^S S_1, S_2 W^S)$$

where  $s_1, s_2 \in K^\#, [S_1, Z] = I$  (that is,  $S_1 \in C(Z)$ ),

$$S_2 \in C(X), \quad S \in C \langle X, Z \rangle.$$

With respect to this equivalence, the solutions fall into eight families as is seen in the next table.

$r$	$s$	$X$	$Z$	$Y$	$W$	$S_1$	$S_2$	$S$
(1)	1	$\begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 \\ \zeta & 1 \end{pmatrix}$	$\begin{pmatrix} \epsilon & 0 \\ 0 & \epsilon^{-1} \end{pmatrix}$	$\begin{pmatrix} \omega & 0 \\ 0 & \omega^{-1} \end{pmatrix}$	$\begin{pmatrix} \alpha_1 & 0 \\ \beta_1 & \alpha_1 \end{pmatrix}$	$\begin{pmatrix} \alpha_2 & 0 \\ \beta_2 & \alpha_2 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 \\ \beta & 1 \end{pmatrix}$
(2)	-1	$\begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 \\ \zeta & 1 \end{pmatrix}$ $\zeta = \epsilon^2(1 - \omega^2)$	$\begin{pmatrix} 0 & \epsilon_{12} \\ -\epsilon_{12}^{-1} & \epsilon_{22} \end{pmatrix}$	$\begin{pmatrix} \omega_{11} & 2 \\ 1/2 & 0 \end{pmatrix}$ $\omega_{11} = -(1 + 2\epsilon_{22}/\epsilon_{12})$	"	"	"
(3)	-1	$\begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}$	$\begin{pmatrix} 0 & \zeta \\ 1 & 0 \end{pmatrix}$ $\zeta = -4/\epsilon_{12}^2$	$\begin{pmatrix} 1 & 0 \\ 0 & \omega/s \end{pmatrix}$	$\begin{pmatrix} \omega & 1 \\ 1 & 1 \\ -1 & 2\omega \end{pmatrix}$	$\begin{pmatrix} \alpha_1 & \beta_1 \\ \beta_1 \zeta^{-1} & \alpha_1 \end{pmatrix}$	$\begin{pmatrix} \alpha_2 & 0 \\ 0 & \alpha_2' \end{pmatrix}$	$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$
(4)	1	$\begin{pmatrix} \xi & 0 \\ 0 & 1 \end{pmatrix}$	$\begin{pmatrix} \zeta & 0 \\ 0 & 1 \end{pmatrix}$ $\zeta = -1/s^2$	$\begin{pmatrix} 1 & 0 \\ \epsilon & 1 \end{pmatrix}$	$\begin{pmatrix} -(s+1)\epsilon & 1 \\ -1 & 0 \end{pmatrix}$	$\begin{pmatrix} \alpha_1 & 0 \\ 0 & \alpha_1' \end{pmatrix}$	$\begin{pmatrix} \alpha_2 & 0 \\ 0 & \alpha_2' \end{pmatrix}$	$\begin{pmatrix} \alpha & 0 \\ 0 & 1 \end{pmatrix}$
(5)	1	$\begin{pmatrix} \xi & 0 \\ 0 & 1 \end{pmatrix}$	"	$\begin{pmatrix} 1 & \epsilon \\ 0 & 1 \end{pmatrix}$	$\begin{pmatrix} 0 & 1 \\ -1 & \frac{s+1}{s}\epsilon \end{pmatrix}$	"	"	"
(6)	1	$\begin{pmatrix} \xi & 0 \\ 0 & 1 \end{pmatrix}$	"	$\begin{pmatrix} 0 & 1 \\ 1 & \epsilon \end{pmatrix}$	$\begin{pmatrix} -(s+1)\epsilon & 1 \\ s & -1 \end{pmatrix}$	"	"	"
(7)	1	$\begin{pmatrix} \xi & 0 \\ 0 & 1 \end{pmatrix}$	"	$\begin{pmatrix} \epsilon & 1 \\ 1 & 0 \end{pmatrix}$	$\begin{pmatrix} 0 & 1 \\ -1 & \epsilon(s+1) \end{pmatrix}$	"	"	"
(8)	1	$\begin{pmatrix} \xi & 0 \\ 0 & 1 \end{pmatrix}$	"	$\begin{pmatrix} \epsilon & 1 \\ 1 & \frac{s-\xi}{\epsilon(s\xi-1)} \end{pmatrix}$	$\begin{pmatrix} \frac{s-\xi}{\epsilon\xi(1-s)} & 1 \\ -\frac{\xi(s-1)^2}{s(\xi-1)^2} & \frac{\epsilon\xi(s-1)(s\xi-1)}{s(\xi-1)^2} \end{pmatrix}$	"	"	"
		$\xi \neq s, s^{-1}$						

## 5. Representations of Hein $(n, k)$

Recall that  $\text{Hein}(n, k) = \langle x, y \mid y^n = e, [x^y, kx] = x^{y^{-1}} \rangle$ . Define  $H_k = \text{Hein}(0, k)$ .

**5.1. The group  $H_1$ .** The polynomial necessary and sufficient condition is

$$f(s) = \frac{s^5 + 1}{s + 1} = 0.$$

This leads to

$$o(X) = 10, \quad Y^5 \text{ is scalar,}$$

$$\lambda(H_1) \cong PSL(2, 5).$$

**5.2. The group  $H_2$ .** The polynomial condition is

$$f(s) = f_1(s)f_2(s) = 0,$$

where

$$f_1(s) = \frac{s^5 + 1}{s + 1}, \quad f_2(s) = s^6 - 2s^5 + 2s^4 - 3s^3 + 2s^2 - 2s + 1.$$

$$(2.1) \quad f_1 = 0 \Rightarrow$$

$$o(X) = 10, \quad Y^3 \text{ is scalar}$$

$$\lambda(H_2) \cong PSL(2, 5).$$

$$(2.2) \quad f_2 = 0 \Rightarrow$$

$\lambda(H_2)$  is an infinite group.

On imposing finiteness on the order of  $\bar{Y}$  ( $= Y$  modulo scalars), the first values which give nonsolvable groups are

$$(2.2.1) \quad o(\bar{Y}) = 6 \Rightarrow \text{char}(K) = 7, \quad s^2 - 3s + 1 = 0, \quad \lambda(H_2) \cong PSL(2, 7^2),$$

$$(2.2.2) \quad o(\bar{Y}) = 11 \Rightarrow \text{char}(K) = 43, \quad s^2 - 9s + 1 = 0, \quad \lambda(H_2) \cong PSL(2, 43^2),$$

$$(2.2.3) \quad o(\bar{Y}) = 13 \Rightarrow \text{char}(K) = 307, \quad s^2 + 39s + 1 = 0, \quad \lambda(H_2) \cong PSL(2, 307^2),$$

**5.3. The group  $H_3$ .** The polynomial condition is

$$f(s) = f_1(s)f_2(s) = 0$$

where

$$f_1(s) = \frac{s^5 + 1}{s + 1},$$

$$\begin{aligned} f_2(s) = & s^{18} - 7s^{17} + 24s^{16} - 58s^{15} + 113s^{14} - 187s^{13} + 272s^{12} \\ & - 352s^{11} + 409s^{10} - 431s^9 + 409s^8 - 352s^7 + 272s^6 \\ & - 187s^5 + 113s^4 - 58s^3 + 24s^2 - 7s + 1. \end{aligned}$$

Again,  $f_2(s) = 0$  gives us an infinite group. On imposing finiteness on  $o(\bar{Y})$ , the first values have the following implications

$$(5.3.1) \quad o(\bar{Y}) = 3 \Rightarrow \text{char}(K) = 2, \quad \text{or } 239$$

$$(5.3.2) \quad o(\bar{Y}) = 4 \Rightarrow \text{char}(K) = 41893.$$

## 6. Representations of $\mathcal{VE}$

Define the *verbal embedding* group

$$\mathcal{VE} = \langle x, y \mid [x^{y^{-1}}, x^y] = x, \quad [x^y, x] = e \rangle.$$

**6.1.** Let  $\varphi$  be a projective representation of  $\mathcal{VE}$  into  $PGL(2, K)$ ,

$$\varphi : x \mapsto \bar{X}, \quad y \mapsto \bar{Y},$$

such that the image is nonsolvable.

We invert our previous strategy by concentrating on the normal form of  $Y$  first and then simplify that of  $X$ .



As  $\langle x^{\nu^{-1}}, x, x^{\nu} \rangle$  is a nilpotent class 2 group, we conclude that  $o(\bar{X}) = 2$ ,  $x_{22} = -x_{11}$ .

6.1.1. Let  $Y = \begin{pmatrix} 1 & 0 \\ 1 & 1 \end{pmatrix}$ . The solution is

$$\text{char}(K) = 17, \quad X = \begin{pmatrix} 0 & 4/3 \\ 2/3 & 0 \end{pmatrix},$$

$$\langle \bar{X}, \bar{Y} \rangle \cong PSL(2, 17).$$

6.1.2. Let  $Y = \begin{pmatrix} \eta & 0 \\ 0 & 1 \end{pmatrix}$ . We have the solution

$$X = \begin{pmatrix} \frac{(1+\eta)(1+\eta^2)}{1-\eta^3} (= x_{11}) & 1 \\ -2 \frac{(1+\eta)^2(1+\eta^2)\eta}{(1-\eta^3)^2} & -x_{11} \end{pmatrix}$$

where  $\eta$  is a root of the polynomial

$$p(t) = 2(t+1)^2(t^2+1) + t^2$$

(irreducible over  $\mathbf{Q}$ ) and  $K$  is such that

$$2\eta(1+\eta)(1+\eta^2)(1-\eta^3) \neq 0.$$

We note that for  $\eta$  to be invertible,  $\text{char}(K) \neq 2$ . Also, for  $1-\eta$  to be invertible,  $\text{char}(K) \neq 17$ .

Let  $D = \mathbf{Z}[\frac{1}{2}, \frac{1}{17}] [t] / (p(t))$ . Then, in  $D$ ,

$$t, t+1, t-1, t^2+1, t^2+t+1.$$

are invertible. Thus, the above the representation is realizable over  $D$ . Also, since  $p(t)$  is not a cyclotomic polynomial,  $t$  has infinite order in  $D$ . Therefore,

$$\langle \bar{X}, \bar{Y} \rangle \leq PGL(2, D)$$

is an infinite group.

**6.2.** It turns out that the projective representation in 6.1.2 is a special case of the 3-dimensional representations  $\varphi$  into  $SL(3, K)$

$$y \rightarrow \begin{pmatrix} \eta_1 & & \\ & \eta_2 & \\ & & 1 \end{pmatrix} (= Y),$$

$$x \rightarrow (x_{ij}) (= X),$$

such that  $X^2 = I$ . Here the solution is equivalent to one where

$$x_{11} = \frac{\eta_2 + \eta_1}{\eta_2 - \eta_1}, \quad x_{12} = -2 \frac{\eta_2}{\eta_2 - \eta_1} \frac{\eta_1 + 1}{\eta_2 - 1}, \quad x_{13} = 1,$$

$$x_{21} = x_{11} + 1, \quad x_{22} = x_{12} - 1, \quad x_{23} = 1,$$

$$x_{31} = -(x_{11} + x_{12} - 1)(x_{11} + 1), \quad x_{32} = (x_{11} + x_{12} - 1)x_{12}, \quad x_{33} = -(x_{11} + x_{12}),$$

$(\eta_1, \eta_2)$  a solution of

$$p(t_1, t_2) = 2(t_1 + 1)(t_2 + 1)(t_1 + t_2) + t_1 t_2 = 0,$$

and  $K$  is such that

$$2\eta_1\eta_2(\eta_1 - 1)(\eta_2 - 1)(\eta_1 - \eta_2) \neq 0.$$

The coincidence with the  $PGL(2, K)$  representation happens when  $t_2 = \frac{1}{t_1}$ .

Apparently, making  $o(Y) = m$  finite, forces  $c$  (= characteristic ( $K$ )) to be positive. Indeed the first nontrivial cases are

$m$	6	7	8	9	10	11	12	13	14
$c$	7	3	5,7	17	3,31	43	37	3,79,131	13,41,127

For the first two groups we have the epimorphisms

$$\mathcal{VE}(2, 6) \rightarrow PGL(2, 7), \quad \mathcal{VE}(2, 7) \rightarrow \mathcal{U}(3, 3) \times C_7,$$

and from [2,3] we know that the kernel of the first is trivial, whereas it is infinite for the second.

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