

## HARMONIC MAPS INTO SURFACES WITH TWO-DIMENSIONAL CONE METRICS

Mauro Rabelo \* 

### 1. Introduction

The study of harmonic maps into spaces with singularities has not sufficiently been considered. In [1] Gromov and Schoen developed the theory of harmonic maps into certain singular spaces of nonpositive curvature. Another contribution was given by Leite in [3], where she proved the existence of harmonic mappings with respect to some degenerate metrics.

Let  $(\Sigma, z)$  and  $(S, w)$  be closed Riemann surfaces of the same genus  $p \geq 2$ , where  $z$  and  $w$  are local complex coordinates, with  $z = x + iy$ . If  $ds^2 = \rho^2(w)|dw|^2$  is a smooth Riemannian metric of nonpositive curvature, then in any homotopy class  $\alpha$  of degree one mappings from  $\Sigma$  into  $S$ , there exists a map  $u \in C^\infty(\Sigma, S)$  that minimizes the Dirichlet energy

$$E(u) = \int_{\Sigma} 2\rho^2(u)(|u_z|^2 + |u_{\bar{z}}|^2) dx dy.$$

Moreover, it was proved in [4] that  $u$  is a (harmonic) diffeomorphism. In [3] Leite considered the same problem for a singular metric

$$ds^2 = |\eta| = |h(w)||dw|^2,$$

where  $\eta$  is a holomorphic quadratic differential in  $S$ . Approximating  $|\eta|$  by smooth negative curvature metrics she proved that the corresponding harmonic

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diffeomorphisms subconverge uniformly to a surjective, energy minimizing map in a homotopy class of maps with finite energy.

Let  $\mathcal{C}$  denote the set of cone points, i.e.,  $\mathcal{C} = \{q \in S : \eta(q) = 0\}$ , and  $\mathcal{P}$  the preimage of such points,  $\mathcal{P} = u^{-1}(\mathcal{C})$ , and denote by

$$\phi = |h(u)|u_z\bar{u}_z dz^2$$

the holomorphic Hopf differential of  $u$ . Recall that if  $\phi \neq 0$  then through any  $p \in \Sigma$  there passes a leaf of the foliation by negative real trajectories of  $\phi$  (i.e., maximal smooth curves  $\gamma$  along which  $\phi(\gamma', \gamma') < 0$ , [5]). The main purpose of this paper is to study the singular set  $\mathcal{P}$  and other properties of the map  $u$ .

We assume that  $u \in C^0(\Sigma, S)$  is a map as constructed by Leite in [3]. In particular,  $u$  is uniformly approximated by orientation preserving diffeomorphisms and the associated Hopf differential  $\phi$  is holomorphic. Moreover,  $u$  is  $\frac{2}{m+2}$ -Hölder continuous where  $m$  is the maximal order of a zero of  $\eta$ . Thus we prove in Theorem 1 (section 3) that if  $\phi \neq 0$  then for any  $q \in \mathcal{C}$  the singular set  $u^{-1}\{q\}$  is an isolated point or a union of arcs contained in the negative real trajectories of  $\phi$ . Moreover, outside the singular set,  $u : \Sigma \setminus \mathcal{P} \rightarrow S \setminus \mathcal{C}$  is a diffeomorphism. Also in the last section we show that  $u$  is the unique minimizer map in its homotopy class  $\alpha$ . When  $\mathcal{P}$  is a finite set of points, we prove in Theorem 1 that if  $u$  is injective then it is the Teichmüller map ( $H^1$ -orientation preserving homeomorphism with constant dilatation).

A weaker version of the last statement was already obtained in [3], where Leite assumed the additional condition that  $u$  has dilatation bounded away from one.

Our study of the set  $\mathcal{P}$  was based on some recent results on the vanishing order and the local behavior of harmonic maps into singular spaces which are due to Gromov and Schoen [1]. We use that a neighbourhood of any  $q \in \mathcal{P}$  is metrically a cone with cone angle  $(m+2)\pi$ , where  $m$  is the vanishing order of  $\eta$ . In particular, it can be isometrically embedded as a geometric cone  $X \subset \mathbb{R}^3$  with a singularity at its vertex. We then prove that whenever  $u \in H^1(\Sigma, X)$  is energy minimizing, the preimage of the cone point can be written locally as a

graph over the negative real line of the Hopf differential  $\phi$  around any point  $p$  with  $\phi(p) \neq 0$ . These remarks are contained in Lemmas 1,2 and Proposition 1 in section 2.

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## 2. The Local Structure of The Singular Set

Let  $D = \{z = x + iy \in \mathbf{C} : |z| < 1\}$  and let  $X \subset \mathbf{R}^N$  be a two- dimensional cone with vertex  $0 \in \mathbf{R}^N$ . We assume that the generating curve  $X \cap S^{N-1}$  is a (piecewise) smooth, simply closed curve of length  $\ell > 2\pi$ , so that  $X$  (with the induced inner metric) is a realization of the cone metric

$$ds^2 = \mu^2 |z|^{2(\mu-1)} |dz|^2,$$

where  $z \in \mathbf{C}, \mu = \ell/2\pi > 1$ . In particular,  $X$  has nonpositive curvature in the sense of [1]. Let  $U \in H^1(D, X) = \{V \in H^1(D, \mathbf{R}^N) : V(z) \in X \text{ a.e}\}$  be a local minimizer for the standard Dirichlet energy

$$E(U) = \frac{1}{2} \int_D (|U_x|^2 + |U_y|^2) dx dy$$

in  $H^1(D, X)$ . It is shown in [1] that if  $U$  has bounded image, then it is locally Lipschitz continuous. We begin with the study of the set  $\mathcal{P} = U^{-1}\{0\}$  in the infinitesimal level.

**Lemma 1.** *Let  $U \in H_{loc}^1(\mathbf{R}^2, X)$  be a homogeneous degree one minimizing map. Then  $\varphi = |U_x|^2 - |U_y|^2 - 2i \langle U_x, U_y \rangle \equiv a \in \mathbf{C}^*$  and there exists  $z_o \in S^1$  with  $az_o^2 > 0$ , such that  $U$  is independent of the direction  $iz_o$  and  $U^{-1}\{0\} = \mathbf{R}iz_o$ .*

**Proof.** Since  $dU$  is homogeneous of degree zero,  $\varphi \equiv a \in \mathbf{C}$  by Liouville's theorem. Now by [1], proposition 3.1, we have  $U = J \circ V$  where  $V : \mathbf{C} \rightarrow \mathbf{R}^m$  is a homogeneous degree one harmonic map and  $J : \mathbf{R}^m \rightarrow X$  is an isometric,

totally geodesic embedding. Since the vertex of  $X$  is singular, we must have  $m = 1$  (if  $m = 2$ , then  $J$  would have to map an euclidean circle of radius  $\rho > 0$  to a  $\rho$ -distance circle in  $X$  of the same length). Therefore there exist  $z_o \in S^1, \mu > 0$  such that  $V(z) = \mu < z, z_o >$ . It follows that  $U$  is invariant in the direction  $iz_o$ . Now  $\frac{1}{2}\phi(w, w) = |dU \cdot w|^2 - \frac{1}{2}|dU|^2|w|^2 - i < dU \cdot w, dU \cdot iw >$ , so that  $az_o^2 = \phi(z_o, z_o) = -\phi(iz_o, iz_o) = |dU|^2 > 0$ . Moreover we have that the curve  $U(tz_o) = J(\mu t)$  is a minimizing geodesic with speed  $|dU \cdot z_o|^2 = |a|$ .  $\square$

Let now  $U \in H^1(D, X)$  be an energy minimizing map. We want to study the set  $\mathcal{P} = U^{-1}\{0\}$  in the neighbourhood of a point  $z_o \in D$  at which the Hopf differential does not vanish. As this is a local problem and as the energy is conformally invariant, we may assume that  $z \in D$  is a natural parameter for  $\phi$ , i.e.

$$|U_x|^2 - |U_y|^2 - 2i < U_x, U_y > \equiv 1. \quad (1)$$

Following [1], we introduce the notation (for  $z_o \in D, 0 < \sigma < 1 - |z_o|$ )

$$E(z_o, \sigma) = \int_{D_\sigma(z_o)} (|U_x|^2 + |U_y|^2) dx dy,$$

$$I(z_o, \sigma) = \int_{\partial D_\sigma(z_o)} d^2(U(z), U(z_o)) ds,$$

$$ord(z_o, \sigma) = \frac{\sigma E(z_o, \sigma)}{I(z_o, \sigma)}.$$

Also for  $\lambda > 0, z_o \in D$  with  $U(z_o) = 0$  and  $|z_o| \leq 1/2$  we define

$$U_{z_o, \lambda} : D_{\frac{1}{2\lambda}}(0) \rightarrow X, \quad U_{z_o, \lambda}(z) = \frac{1}{\lambda} U(z_o + \lambda z).$$

**Lemma 2.** *Let  $U \in H^1(D, X)$  be an energy minimizing map with  $z \in D$  a natural parameter for  $\phi$  and  $d_o = \text{diam } U(D) < \infty$ . If  $U(z_i) = 0, |z_i| \leq 1/2, \lambda_i \downarrow 0$  then the sequence  $U_{z_i, \lambda_i}$  contains a subsequence that converges locally uniformly and locally in  $H^1$  to a homogeneous degree one, nonconstant minimizing map  $U_* : \mathbb{C} \rightarrow X$  with  $U_*^{-1}\{0\} = i\mathbb{R}$ . **Proof.** Let  $|z_o| \leq 1/2, 0 < \sigma < 1/2$ . We*

begin by deriving upper and lower bounds for the quantities  $E(z_o, \sigma)$ ,  $I(z_o, \sigma)$  and the Lipschitz constant of  $U$ . We clearly have from (1) that

$$E(z_o, \sigma) \geq \pi \sigma^2.$$

Also  $\text{ord}(z_o, \sigma)$  is nondecreasing in  $\sigma$  and converges to  $\text{ord}(z_o) \in [1, \infty)$  as  $\sigma \downarrow 0$ , because  $U$  is Lipschitz continuous (see [1], p. 41). Setting  $\alpha = \text{ord}(z_o)$ , we infer as in [1] that  $\sigma^{-1-2\alpha}I(z_o, \sigma)$  is a nondecreasing function (there is no error term because we work in the standard metric). Now for  $\sigma$  small we have

$$2\alpha \geq \text{ord}(z_o, \sigma) = \frac{\sigma E(z_o, \sigma)}{I(z_o, \sigma)} \geq \frac{\pi \sigma^3}{I(z_o, \sigma)} \geq \sigma^{2(1-\alpha)} \frac{\pi \rho^{1+2\alpha}}{I(z_o, \rho)}$$

for fixed  $\rho > \sigma$ . By taking  $\sigma$  small enough we conclude that  $\alpha = 1$ . Therefore, if  $\sigma \in (0, \frac{1}{2})$ , we have

$$\begin{aligned} \pi &\leq \liminf_{r \rightarrow 0} \frac{E(z_o, r)}{r^2 \text{ord}(z_o, r)} \\ &= \liminf_{r \rightarrow 0} \frac{I(z_o, r)}{r^3} \leq \frac{I(z_o, \sigma)}{\sigma^3} \leq \frac{I(z_o, 1/2)}{(1/2)^3} \leq K, \end{aligned}$$

with  $K$  depending only on  $d_o$ . Thus

$$\pi \sigma^3 \leq I(z_o, \sigma) \leq K \sigma^3. \quad (2)$$

Using the subharmonicity of  $d^2(U(\cdot), U(z_o))$  it now follows that

$$|dU(z_o)| \leq K,$$

$$E(z_o, \sigma) \leq K \sigma^2 \text{ for } \sigma \in (0, 1/4).$$

Summing up, we have uniform local Lipschitz and uniform local energy bounds for the sequence  $U_i = U_{z_i, \lambda_i}$ . After selection of a subsequence,  $U_i$  will converge locally uniformly and weakly in  $H^1$  to a map  $U_* : \mathbf{R}^2 \rightarrow X$ , and (2) gives that  $U_*$  is not constant. The argument of [1] proposition 3.3 carries over word by word to show that  $U_*$  is locally minimizing and that the energies converge. Also one obtains that  $U_*$  is homogeneous of degree one. Now the convergence of the energies implies that  $dU_i \rightarrow dU_*$  pointwise almost everywhere,



and therefore the quadratic differential associated to  $U_*$  is identically equal to  $dz^2$ . Then we conclude from lemma 1 that  $U_*^{-1}\{0\} = i\mathbf{R}$ .  $\square$

Let now  $U$  be as in lemma 2. For any  $z \in D, \alpha \in (0, \pi/2)$  let  $C_\alpha(z) \subset \mathbf{R}^2$  be the cone of opening angle  $\alpha$  around the  $y$ -direction through  $z$ ,

$$C_\alpha(z) = \{\zeta = \xi + i\eta \in \mathbf{C} : \frac{|\xi - x|}{|\eta - y|} < \tan \alpha\}.$$

Let  $Q_\epsilon(z) = \{\zeta \in \mathbf{C} : |\xi - x| \leq \epsilon, |\eta - y| \leq \epsilon\}$ . We claim that for any  $\alpha \in (0, \pi/2)$ , there exists an  $\epsilon > 0$  such that for all  $z \in D, |z| \leq 1/4, z \in \mathcal{P} = U^{-1}\{0\}$ , we have

$$\mathcal{P} \cap Q_\epsilon(z) \subset C_\alpha(z). \quad (3)$$

If this were not true, we could find a sequence  $z_i \in D, |z_i| \leq 1/4, U(z_i) = 0$  and a sequence  $z'_i \in \mathcal{P}, \lambda_i = |z'_i - z_i| \rightarrow 0$ , such that  $z'_i \notin C_\alpha(z_i)$ . Considering the blowup sequence  $U_{z_i, \lambda_i}$  we infer from lemma 2 that after selection of a subsequence  $U_{z_i, \lambda_i}$  converges to a limit map  $U_*$  with  $U_*^{-1}\{0\} = i\mathbf{R}$ . However  $U_{z_i, \lambda_i}(\frac{1}{\lambda_i}(z'_i - z_i)) = \frac{1}{\lambda_i}U(z'_i) = 0$  and  $\frac{1}{\lambda_i}(z'_i - z_i) \notin C_\alpha(0)$ . By uniform convergence, this gives a contradiction.

Condition (3) shows that any point  $z_o \in \mathcal{P}$  has a neighbourhood  $Q_\epsilon(z_o)$ , such that  $\mathcal{P} \cap Q_\epsilon(z_o)$  can be written as a graph over a closed subset of the vertical line through  $z_o$ , and additionally that

$$\sup\left\{\frac{|x - x_o|}{|z - z_o|} : z = x + iy \in \mathcal{P} \setminus \{z_o\}, |z - z_o| \leq \rho\right\} \rightarrow 0 \text{ as } \rho \rightarrow 0. \quad (4)$$

We can summarize these results as follows:

**Proposition 1.** *Let  $U \in H^1(D, X)$  be energy minimizing,  $\mathcal{P} = U^{-1}\{0\}$ . Assume that  $z_o \in \mathcal{P}$  is a point at which the Hopf differential  $\phi$  does not vanish. Let  $\zeta = \xi + i\eta$  be a natural parameter for  $\phi$  at  $z_o$ , so that  $\zeta(z_o) = 0$  and  $\phi = d\zeta^2$ . In a neighbourhood of  $z_o$ ,  $\mathcal{P}$  can be described as a graph  $\xi = f(\eta)$  over a subset  $\mathcal{E}$  of the line  $\xi = 0$ . Moreover, the local Lipschitz constant of the graph function is zero, i.e.*

$$\lim_{\substack{\eta \rightarrow \eta_o \\ \eta \in \mathcal{E}}} \frac{|f(\eta) - f(\eta_o)|}{|\eta - \eta_o|} = 0.$$

*Remark:* The same statement holds if  $X$  is a nonpositively curved surface with an isolated singularity, at which the tangent cone is of the type described above.

### 3. Global Consequences

We are now going to discuss the consequences of the above analysis in the situation mentioned in the introduction.

Let  $(\Sigma, z)$ ,  $(S, w)$  be closed Riemann surfaces of the same genus  $p \geq 2$  and let  $\alpha$  be a homotopy class of degree one mappings from  $\Sigma$  to  $S$ . Throughout this section we assume that  $u \in C^0(\Sigma, S)$  is a map as constructed by Leite in [3], which minimizes the energy with respect to the singular metric  $|\eta|$  associated to a holomorphic quadratic differential  $\eta = h(w)dw^2$ , in a homotopy class of maps with finite energy. In particular we assume that  $u$  has the following properties proved in [3]:

**Proposition 2.**

- (i)  $u$  is uniformly approximated by orientation preserving diffeomorphisms (hence it is surjective);
- (ii) If  $C = \{q \in S : \eta(q) = 0\}$ ,  $\mathcal{P} = u^{-1}(C)$ , then  $u : \Sigma \setminus \mathcal{P} \rightarrow S \setminus C$  is a smooth harmonic map with nonnegative jacobian;
- (iii)  $\phi = |h(u)|u_z \bar{u}_z dz^2$  is a holomorphic quadratic differential.

With those assumptions for the map  $u$  we are able to state the main result of this paper.

**Theorem 1.** *For  $u$  as in proposition 2, the following statements hold:*

- (i) If  $\phi \equiv 0$  then  $u$  is a biholomorphic map;
- (ii) If  $\phi \not\equiv 0$  then :

(a) For any  $q \in \mathcal{C}$ ,  $u^{-1}\{q\}$  is a single point or it is a compact and simply connected union of arcs contained in the negative real trajectories of  $\phi$ ;

(b)  $u : \Sigma \setminus \mathcal{P} \rightarrow S \setminus \mathcal{C}$  is a diffeomorphism;

(iii) If  $u$  is injective then it is the Teichmüller map.

**Proof.** The proof of this theorem will be given in several steps. First of all we observe that in a natural parameter, in a neighbourhood of a zero of order  $m$ ,  $\eta$  has the form

$$\eta = \left(\frac{m+2}{2}\right)^2 w^m dw^2.$$

Thus a neighbourhood of any point  $q \in \mathcal{C}$  can be isometrically embedded as a cone in  $\mathbf{R}^3$  over a curve in  $S^2$  with length  $(m+2)\pi$ . Composing this embedding with  $u$  we obtain a map  $U$  to which the results of section 1 can be applied.

*Step 1.* For any  $q \in \mathcal{C}$  the set  $\mathcal{P}_q = u^{-1}\{q\}$  is connected and can not contain a closed curve that is homotopically nontrivial in  $\Sigma$ .

These are consequences of the fact that  $u$  is uniformly approximated by orientation preserving diffeomorphisms.

*Step 2.* If  $\phi \equiv 0$  then  $u$  is a biholomorphic map.

We start by proving that  $u$  is injective. Assume that for some  $q \in S$ ,  $\text{card } u^{-1}\{q\} > 1$ . Let

$$U : D_r(0) \subset \mathbf{C} \rightarrow X \subset \mathbf{R}^3, \quad U = J \circ u \circ z^{-1},$$

where  $z$  is a local parameter and  $J$  is an isometric embedding of a neighbourhood of  $q$  as a cone in  $\mathbf{R}^3$ . Then  $U \in H^1(D_r, X)$  is energy minimizing and from step 1 we have  $u^{-1}\{0\} \cap \partial D_\rho(0) \neq \emptyset$  for all  $\rho \in (0, r)$ , if  $r$  is small enough. Also  $U$  is a conformal map, i.e.

$$|U_x|^2 - |U_y|^2 - 2i < U_x, U_y > \equiv 0.$$

Now from [1], proposition 3.3, we infer that  $U$  has a homogeneous degree  $\alpha$  approximating map  $U_* : D \rightarrow X$  which is not constant, for some  $\alpha \in [1, \infty)$ .



As any rescaled map  $U_{\lambda,\mu}(z) = \frac{1}{\mu}U(\lambda z)$  is conformally parametrized and  $U_*$  is approximated locally in  $H^1$  by such maps, we infer that  $U_*$  is also conformal. Introducing polar coordinates  $z = re^{i\theta}$  on  $D$ , we compute (recall that  $U_*$  is locally Lipschitz) that

$$U_*(rz) = r^\alpha U_*(z).$$

Then

$$\frac{\partial U_*}{\partial r} = \frac{\alpha}{r} U_*(z)$$

and also

$$\frac{1}{2} \frac{\partial}{\partial \theta} |U_*|^2 = \langle U_*, \frac{\partial U_*}{\partial \theta} \rangle = \frac{r}{\alpha} \langle \frac{\partial U_*}{\partial r}, \frac{\partial U_*}{\partial \theta} \rangle \equiv 0.$$

As  $U_*$  is not constant we conclude that  $U_*^{-1}\{0\} = \{0\}$ . On the other hand, if  $\lambda$  is small enough we have that for any rescaled map  $U_{\lambda,\mu}$  and for any  $r \in (0, \frac{1}{2})$ ,

$$U_{\lambda,\mu}^{-1}\{0\} \cap \partial D_r(0) \neq \emptyset.$$

As  $U_*$  is the uniform limit of such a blowup sequence, we obtain a contradiction. This proves that  $u$  is a homeomorphism. But the jacobian of  $u$  is nonnegative on  $\Omega = \Sigma \setminus \mathcal{P}$ , so that  $u$  is holomorphic on  $\Omega$  and thus it is holomorphic on  $\Sigma$  because  $\mathcal{P}$  is a finite set. This proves the first statement of the theorem.

From now on we assume that  $\phi \not\equiv 0$ , so that the trajectory structure of  $\phi$  is available.

*Step 3.* Let  $z_o \in \mathcal{P}$  be a point with  $\phi(z_o) \neq 0$ . Then one of the following alternatives holds:

- (a)  $z_o$  is an isolated point of  $\mathcal{P}$ ;
- (b) near  $z_o$ ,  $\mathcal{P}$  is an interval in the negative real trajectory of  $\phi$  through  $z_o$ , containing  $z_o$  as an interior or as an end point.

Suppose that the first alternative fails. Let  $\zeta = \xi + i\eta \in [-\epsilon, \epsilon] \times [-\epsilon, \epsilon]$  be a natural parameter around  $z_o$ , so that in the domain  $Q_\epsilon$  of  $\zeta$  we have a graph representation

$$f : \mathcal{E} \rightarrow \mathbf{R}, \quad \mathcal{E} \subset [-\epsilon, \epsilon], \quad \xi = f(\eta)$$

of  $\mathcal{P}$  (according to section 1). Taking  $\epsilon$  small enough we may assume that  $|f(\eta)| \leq \frac{\epsilon}{2}$  for  $\eta \in \mathcal{E}$ . Now if for some  $0 < \eta_1 < \eta_2 \leq \epsilon$  we have  $\eta_i \notin \mathcal{E}$  ( $i = 1, 2$ ), then it follows by the connectivity of  $\mathcal{P}$  that  $[\eta_1, \eta_2] \cap \mathcal{E} = \emptyset$ , because otherwise the decomposition  $\Omega_1 = (\eta_1, \eta_2) \times (-\epsilon, \epsilon)$ ,  $\Omega_2 = \Sigma \setminus \overline{\Omega_1}$  disconnects  $\mathcal{P}$ . Assuming that  $z_o$  is an accumulation point from above (i.e.  $\eta > 0$ ), we see that we can assume  $[0, \epsilon] \subset \mathcal{E}$ . But then from section 1 (Proposition 1) we conclude that  $f'(\eta) \equiv 0$  on  $[0, \epsilon]$ , so that  $f|_{[0, \epsilon]} \equiv 0$ . If necessary we repeat the argument for negative  $\eta$ , so that the statement is proved.

*Step 4.  $\mathcal{P}$  cannot contain a closed loop and  $\Omega = \Sigma \setminus \mathcal{P}$  is connected.*

We have already excluded homotopically nontrivial loops in  $\mathcal{P}$ . But the metric  $|\phi|$  does not admit contractible closed geodesics (see [5]), so that the claim follows from step 3.

*Step 5.  $u : \Sigma \setminus \mathcal{P} \rightarrow S \setminus \mathcal{C}$  is a diffeomorphism.*

On  $\Omega = \Sigma \setminus \mathcal{P}$ ,  $u$  is a smooth solution of the harmonic map equation

$$h(u)u_{z\bar{z}} + \frac{1}{2}h'(u)u_z u_{\bar{z}} = 0,$$

where  $\eta = h(w)dw^2$ . This implies that

$$\phi_1 = h(u)u_z^2 dz^2 \quad \phi_2 = \overline{h(u)u_z^2} dz^2$$

are holomorphic quadratic differentials on  $\Omega$ . As the jacobian  $\mathcal{J}u$  is nonnegative, i.e.  $|u_{\bar{z}}|^2 \leq |u_z|^2$ , we conclude that

$$g := \frac{\phi_2}{\phi_1}$$

has a holomorphic extension to  $\Omega$  with  $|g| \leq 1$ . ( $\phi_1 \equiv 0$  is not possible because then  $u$  would have to be constant).

Now a zero  $z$  of the jacobian must also be a zero of the Hopf differential, because otherwise  $|g(z)| = 1$ , which implies that  $|g| \equiv 1$  (actually  $g = \text{constant}$ ) on  $\Omega$ , by the maximum principle (here we use that  $\Omega$  is connected). But then the jacobian would vanish identically, which contradicts the fact that  $u$  is surjective. Thus  $\{z \in \Omega : \mathcal{J}u(z) = 0\}$  is a finite set.

We proceed to show that  $u|_{\Omega}$  is injective. If this were not the case, i.e.  $\text{card } u^{-1}\{q\} > 1$  for some  $q \in S \setminus \mathcal{C}$ , then we could use the connectivity of  $u^{-1}\{q\}$  as in step 2 to conclude that any  $z \in u^{-1}\{q\}$  is an accumulation point of  $u^{-1}\{q\}$ , so that the zeroes of  $\mathcal{J}u$  are not isolated. The proof is finished by the argument of Heinz [2] which says that a univalent harmonic map has nonvanishing jacobian.

*Step 6. If  $u$  is injective then it is the Teichmüller map.*

Let  $g : \Sigma \setminus \mathcal{P} \rightarrow \mathbb{C}$  be the holomorphic function considered in step 5. Since  $|g| < 1$  and  $\mathcal{P}$  is finite,  $g$  extends to all of  $\Sigma$  and is therefore constant. Now

$$|g(z)| = \left| \frac{u_{\bar{z}}}{u_z} \right|^2$$

is the square of the dilatation of the map  $u$  and the Teichmüller map is characterized as being the unique homeomorphism with constant dilatation in its homotopy class. This completes the proof of the theorem.  $\square$

## 4. Uniqueness

In this section we are going to prove that the map  $u_o : \Sigma \rightarrow S$ , constructed in section 2 is the unique minimizer in its homotopy class. Assume that  $u_1 : \Sigma \rightarrow S$  is another minimizer homotopic to  $u_o$ . For any  $p \in \Sigma$  we can replace the curve joining  $u_o(p)$  to  $u_1(p)$  by the unique constant speed geodesic homotopic to that curve, with the same end points, thus obtaining a geodesic homotopy

$$u : [0, 1] \times \Sigma \rightarrow S, \quad u(t, p) = u_t(p)$$

connecting  $u_o$  to  $u_1$ . Let  $\mathcal{P}_t = u_t^{-1}\{\mathcal{C}\}$ ,  $\Omega_t = \Sigma \setminus \mathcal{P}_t$  and let

$$Z_t : \Omega_t \rightarrow TS, \quad Z_t(p) = \frac{\partial u_t}{\partial t}(t, p)$$

denote the tangent field of the homotopy along  $u_t$ . Observe that for any  $\Omega \subset \subset \Omega_t$  there exists an  $\epsilon > 0$  such that  $u|_{(t-\epsilon, t+\epsilon) \times \Omega} \rightarrow S$  is a smooth map so that  $Z_t$  is well defined and smooth. Now recall from [1], Theorem 4.1, that for any  $\Omega \subset \Sigma$ ,

$E_\Omega(u_t)$  is a continuous, convex function satisfying the differential inequality

$$\frac{d^2}{dt^2} E_\Omega(u_t) \geq \int_\Omega |\nabla d(u_o, u_1)|^2 dx dy,$$

in the weak sense. As  $E_\Omega(u_t)$  is constant, we have that  $d(u_o, u_1) \equiv L > 0$ . Now let  $\Omega \subset \subset \Omega_t$ , then we have by a standard computation that

$$0 = \frac{d^2}{dt^2} E_\Omega(u_t) = 2 \int_\Omega |DZ_t(p)|^2 dx dy,$$

where  $D$  is the covariant derivative along  $u_t$ . We obtain that  $Z_t$  is parallel along  $u_t|_\Omega$ . In particular we have a parallel field  $Y_o$  on  $S \setminus C$  by setting

$$Y_o(q) := Z_o(u_o^{-1}q) \text{ for } q \in S \setminus C$$

(recall that  $u_o : \Omega_o \rightarrow S \setminus C$  is a diffeomorphism) with  $ds^2(Y_o) \equiv L$ . Also since  $Y_o$  is parallel then  $Y_o$  rotates around the origin by the angle  $-m\pi \leq 0$ , i.e.,

$$\text{index}(Y_o, q) = -\frac{m}{2},$$

for any  $q \in C$  which is a zero of  $\eta$  with order  $m$ .

Now observe that  $\mathcal{P}_o \subset \Omega_t$  for any  $t > 0$ ,  $t$  small enough ( $d(u_o, u_t) = Lt$ ). Let  $\beta(s)$  be a smooth arc in  $\mathcal{P}_t$ . It follows that

$$u_o(\beta(s)) \equiv q \in C$$

$$u_t(\beta(s)) \subset \{q' : \text{dist}(q, q') = Lt\} = \partial B(q, Lt).$$

For any fixed  $s_o$ ,  $u(\cdot, \beta(s_o))$  is a geodesic ray emanating from  $q$ . Therefore,  $Z_t(\beta(s))$  is proportional (with a constant factor) to the normal to  $\partial B(q, Lt)$  at the point  $u_t(\beta(s))$ . As  $Z_t$  is parallel along  $u_t$  this proves that  $u_t(\beta(s))$  has to be constant. Thus for  $t$  small enough,  $u_t$  maps each component of  $\mathcal{P}_o$  to a single point  $q(t)$  with  $\text{dist}(q(0), q(t)) = Lt$ , and the curve  $q(t)$  is a geodesic ray emanating from  $q(0)$ .

Now assume  $\{p_i\} \subset \Omega_o$ , and  $p_i$  converges to  $p_o \in \mathcal{P}_o$ . Let

$$q_i = u_o(p_i) \rightarrow u_o(p_o) = q_o,$$

$$q'_i = u_1(p_i) \rightarrow u_1(p_o) = q'_o.$$

Let  $\gamma_i(t) = u_t(p_i)$ ,  $0 \leq t \leq 1$ . It follows that  $\gamma_i(t)$  converges to the geodesic segment  $q(t)$ ,  $0 \leq t \leq 1$ . Thus if we take an euclidean coordinate background metric, we must have that  $Y(q_i) \rightarrow q'(0)L$ . As this is true for any such sequence  $\{p_i\}$  we have shown that  $Y_o$  has a continuous extension into the singular point  $q$ . But this is not possible because  $\text{index}(Y_o, q) = -\frac{m}{2} \leq -1$  (the argument also works in the case where  $u_o^{-1}\{q\}$  degenerates to a point). It follows now that  $Y_o \equiv 0$ , and thus  $u_1 \equiv u_o$  which means that  $u_o$  is the unique minimizer in its homotopy class.

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Departamento de Matemática

Universidade de Brasília

70910 Brasília - DF

Brazil