

EXTENSIONS OF JETS ON TUBE STRUCTURES**Joaquim Tavares** * **Abstract**

We apply the Ehrenpreis's cohomological extension method to tubes structures. We extend jets which are pointwise solutions of the structure in tubelike closed sets.

Resumo

Aplicamos o método cohomológico de extensão de Ehrenpreis as estruturas Tubo. Extendemos jatos que são soluções pontuais da estrutura definidos em conjuntos fechados tubulares.

1. Introduction

Let $\{u_\alpha\}$ be a subset of functions of $\mathcal{C}(F, \mathbb{C})$ indexed by the set of all nonnegative integer N -uplas $(\alpha_1, \dots, \alpha_N)$ with $|(\alpha_1, \dots, \alpha_N)| = \alpha_1 + \dots + \alpha_N \in \mathbb{N}$.

The set $\{u_\alpha\}$ defines a smooth jet in a subset F of \mathbb{R}^N if for all bounded subset $K \subset F$

$$u^\alpha(x) = \sum_{|\alpha+\beta| \leq k} \frac{u^{\alpha+\beta}(y)}{\beta!} (x-y)^\beta + R_{\alpha,k}(x, y) \quad (1.1)$$

and

$$|u^\alpha(x)| \leq M(K) \\ |R_{\alpha,k}(x, y)| \leq M|x-y|^{k-|\alpha|} \text{ for all } x, y \in K \subset F, \quad k \in \mathbb{N} \quad (1.2)$$

We denote the set of all jets defined in F by $J(F, \mathbb{C})$.

The Whitney extension theorem asserts that when F is closed smooth jets extend themselves to \mathbb{R}^N as an element of $C^\infty(\mathbb{R}^N, \mathbb{C})$.

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If $F \subset \mathbb{R}^N$ is a closed set and E is a finite vector bundle over \mathbb{R}^N , we denote by $J(F, E)$ the sections of E with base in F and coefficients in $J(F, \mathbb{C})$.

Let \mathcal{L} be a subbundle of $\mathbb{C} \otimes T(\mathbb{R}^N)$ generated by n , $2 \leq n \leq N$, linearly independent smooth complex vector fields expressed by a frame field $L = (L_1, \dots, L_n)$. We define $\mathcal{H}(F)$ to be the subalgebra of elements of $J(\mathbb{R}^m \times F, \mathbb{C})$ such that

$$Lu = 0 \text{ in } \mathbb{R}^m \times F \quad (1.3)$$

The equation (1.3) should be understood as

$$L_j u = 0 \text{ in } F \text{ for } j = 1, \dots, n$$

in the classical sense since we can always extend u to $\mathbb{R}^m \times \mathbb{R}^n$.

We say that \mathcal{L} is globally integrable if there is a smooth map

$$Z : \mathbb{R}^m \times \mathbb{R}^n \rightarrow \mathbb{C}^m \quad (1.4)$$

such that

$$dZ_1 \wedge \dots \wedge dZ_m \neq 0 \quad (1.5)$$

in \mathbb{R}^N . When we can find global coordinates in $\mathbb{R}^m \times \mathbb{R}^n$ such that

$$Z(x, t)x + i\Phi(t)$$

we say that \mathcal{L} is a Tube structure.

By choosing global coordinates $x_1, \dots, x_m, t_1, \dots, t_n$ in $\mathbb{R}^m \times \mathbb{R}^n$ we may express the vector fields L_j as

$$L_j = (\partial_t)_j - \sum_{k=1}^m (\partial_t)_j(Z_k)(\partial_x)_k, \quad j = 1, \dots, n \quad (1.6)$$

where $(\partial_x) = (\frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_m})$ and

$$L_k Z_j = 0, \quad L_k t_l = \delta_{kl}, \quad (1 \leq j \leq m, 1 \leq k, l \leq n) \quad (1.7)$$

Let us denote by \mathcal{L}^\perp the orthogonal of \mathcal{L} with respect to the duality between tangent vector and forms. Associated with \mathcal{L} we have the induced covariant

exterior derivative acting in exterior powers of $J(\mathbb{R}^m \times K, E)$, where $E = \mathbb{C} \otimes T^*/\mathcal{L}^\perp$ and the associated differential complex

$$\bigwedge^p J(\mathbb{R}^m \times K, E) \xrightarrow{d_{\mathcal{L}}} \bigwedge^{p+1} J(\mathbb{R}^m \times K, E) \quad (1.8)$$

In this context we will study extensions of jets in $\mathcal{H}(F)$ to jets in $\mathcal{H}(U)$, where U is some open neighborhood of F . We will apply the cohomological extension method as described in [1], that is; for an adequate neighborhood U of F and an extension $\tilde{u} \in C^\infty(\mathbb{R}^m \times U)$ of $u \in \mathcal{H}(K)$ we will solve $d_{\mathcal{L}}v = d_{\mathcal{L}}\tilde{u}$ in some open neighborhood U of K with $v \equiv 0$ in $\mathbb{R}^m \times K$. An extension of u will be $\tilde{u} - v$.

2. Extending Jets

Let us denote by $\mathcal{C}(\nu)$ the family of diadic cubes \mathcal{Q}_ν with edges of size $2^{-\nu}$ in \mathbb{R}^n . Let \mathcal{Q}_K be some fixed diadic cube containing K in its interior and $N(\nu)$ the number of diadic cubes in $\mathcal{C}(\nu)$ contained by \mathcal{Q}_K . Let B_R be the ball of radius R centered in the origin in \mathbb{R}^m and $\partial^\alpha = (\partial/\partial_{x_1})^{\alpha_1} \dots (\partial/\partial_{x_n})^{\alpha_n}$, $\partial_t^\beta = (\partial/\partial_{t_1})^{\beta_1} \dots (\partial/\partial_{t_n})^{\beta_n}$, $L^\alpha = L_1^{\alpha_1} \dots L_n^{\alpha_n}$, $x^\alpha = x_1^{\alpha_1} \dots x_n^{\alpha_n}$ and $t^\beta = t_1^{\beta_1} \dots t_n^{\beta_n}$. Also let us denote by Γ_t the m -dimensional affine linear manifold

$$\{z \in \mathbb{C}^m : z = y + i\Phi(t)\}$$

and consider

$$G_\epsilon(\xi) = \exp(-\epsilon 4^{-1}\xi^2)$$

the Fourier-Laplace transform of $E_\epsilon(z) = (\epsilon\pi)^{-\frac{m}{2}} \exp(-\epsilon^{-1}z^2)$. Let u be in $\mathcal{H}(K)$. We extend u to $\mathbb{R}^m \times \mathbb{R}^n$ as a function \tilde{u} in $C^\infty(\mathbb{R}^m \times \mathbb{R}^n, \mathbb{C})$. It follows that $d_{\mathcal{L}}\tilde{u} \in C^\infty(\mathbb{R}^m \times \mathbb{R}^n, \mathbb{C} \otimes \wedge^{1,m})$ and

$$d_{\mathcal{L}}\tilde{u} \equiv 0 \text{ in } \mathbb{R}^m \times F \quad (2.1)$$

In fact all derivatives of $d_{\mathcal{L}}\tilde{u}$ vanish at $\mathbb{R}^m \times F$ since the L^j 's and ∂_{x_k} 's commute. Denote by $w = x + i\Phi(t)$ and $z = y + i\Phi(s)$ complex vectors in \mathbb{C}^n . Consider $0 < R_0 < R_1 < R_2$, and χ in $C^\infty(B_{R_1})$, where $\chi \equiv 1$ in B_{R_0} and $\omega = d_{\mathcal{L}}(\chi\tilde{u})$.

It follows that $\omega \in J(\mathbb{R}^m \times \mathbb{R}^n, \mathbb{C} \otimes \wedge^{1,m})$ is an uniformly compact supported form in the first variable in B_{R_1} . Define v_ϵ by

$$v_\epsilon(x, t) = 2\pi^{-m} \int_{\Lambda} \int_{\Gamma_s} \int_{\mathbb{R}^m} \exp(i[w - z] \cdot \xi) G_\epsilon(\xi) \omega(s, \Re z) \wedge d\xi \wedge dz \quad (2.2)$$

Since

$$\begin{aligned} d_t \int_{\Gamma_t} \exp(i[w - z] \cdot \xi) G_\epsilon(\xi) (\chi \bar{u})(t, \Re z) dz = \\ \int_{\Gamma_t} \exp(i[w - z] \cdot \xi) G_\epsilon(\xi) \omega(t, \Re z) \wedge dz \end{aligned} \quad (2.3)$$

This last 1-differential form is exact for every fixed $\xi \in \mathbb{R}^m$ and (2.2) is independent of the arc Λ joining a fixed point $t_0 \in K$ to $t \in \mathbb{R}^n$. The exponential decay guaranteed by G_ϵ allows one to apply Fubini theorem to represent (2.2) as

$$v_\epsilon(x, t) = 2\pi^{-m} \int_{\mathbb{R}^m} \int_{\Lambda} \int_{\Gamma_s} \exp(i[w - z] \cdot \xi) G_\epsilon(\xi) \omega(s, \Re z) \wedge dz \wedge d\xi \quad (2.4)$$

it follows also that

$$\begin{aligned} v_\epsilon^{\alpha, \beta}(x, t) &= 2\pi^{-m} \partial_x^\alpha \partial_t^\beta \int_{\mathbb{R}^m} \int_{\Lambda} \int_{\Gamma_s} \exp(i[w - z] \cdot \xi) G_\epsilon(\xi) \omega(s, \Re z) \wedge d\xi \wedge dz = \\ &= 2\pi^{-m} \partial_x^\alpha \partial_t^\beta \int_{\mathbb{R}^m} \int_{\Lambda} \int_{\Gamma_s} \exp(i[w - z] \cdot \xi) G_\epsilon(\xi) \omega(s, \Re z) \wedge dz \wedge d\xi = \\ &= 2\pi^{-m} \int_{\Gamma_t} \int_{\mathbb{R}^m} \exp(i[w - z] \cdot \xi) G_\epsilon(\xi) L^\beta \partial_y^\alpha (\chi u)(t, \Re z) \wedge d\xi \wedge dz \\ &+ 2\pi^{-m} \int_{\Lambda} \int_{\Gamma_s} \int_{\mathbb{R}^m} \exp(i[w - z] \cdot \xi) G_\epsilon(\xi) L^\beta \partial_y^\alpha \omega(t, \Re z) \wedge d\xi \wedge dz = \\ &= \int_{\Gamma_t} E_\epsilon(w - z) L^\beta \partial_y^\alpha (\chi u)(t, \Re z) dz \\ &+ 2\pi^{-m} \int_{\mathbb{R}^m} \int_{\Lambda} \int_{\Gamma_s} \exp(i[x - y] \cdot \xi) G_\epsilon(\xi) L^\beta \partial_y^\alpha \omega(t, \Re z) \wedge d\xi \wedge dz \end{aligned} \quad (2.5)$$

For any $u \in C^\infty(\mathbb{R}^m \times \mathbb{R}^n, \mathbb{R})$ and $c \in \mathbb{R}$ denote the *interior* of the set $\{t \in \mathbb{R}^n : \sup_{x \in \mathbb{R}^m} u(x, t) < c\}$ by u_c .

We will introduce now a semilocal condition $(\star)_0$ for a tube structure \mathcal{L} in order to establish a boundedness criteria for the family

$$\{v_\epsilon^{\alpha,\beta}, \epsilon \leq 1, |\alpha|, |\beta| \leq l\}$$

We say that \mathcal{L} satisfies $(\star)_0$ at a closed connected set $F \subset \mathbb{R}^n$ if for any connected compact subset $K \subset F$ and open neighborhood $Q \supset K$ there exists an open neighborhood U of K such that for all $c \in \mathbb{R}$ and $u \in \mathcal{H}(\mathbb{R}^n)$ any connected component \mathcal{C} of $\mathbb{R}u_c \cap U$ is contained by a connected component \mathcal{C}' of $\mathbb{R} = u_c \cap Q$ with $\mathcal{C}' \cap F \neq \emptyset$ or else $\mathbb{R}u_c \cap U = \emptyset$

If $G \subset \mathbb{R}^n$ is open and $F \subset \overline{G}$ we say that \mathcal{L} satisfies $(\star)_0$ at F relative to G if the statement above holds with Q and U relative open sets in \overline{G} .

When K reduces to a point and $F = \mathbb{R}^n$, the condition $(\star)_0$ was originally stated for tubes structures in [6] and it agrees with the solvability condition appearing for locally integrable structures of codimension one ([3]). We will prove an extension theorem, analog to those proved in [2], for a tube structure \mathcal{L} that verifies the condition \star_0 at a compact subset of closed connected hypersurface F .

With the notation above we state the Lemma 2.1, whose proof we postpone to Section 3.

Lemma 2.1. *Let \mathcal{L} be a tube structure in $\mathbb{C} \otimes T(\mathbb{R}^m \times \mathbb{R}^n)$. Let $F \subset \mathbb{R}^n$ be a connected closed set, $K \subset F$ compact connected set and \mathcal{Q}_K an open diadic cube containing K . If \mathcal{L} satisfies $(\star)_0$ at F , then for a fixed l the family*

$$\{v_\epsilon^{\alpha,\beta}, \epsilon \leq 1, |\alpha|, |\beta| \leq l\} \quad (2.6)$$

is bounded in $\{t \in \mathbb{R}^n : |t| \leq k\} \times U$ by a same constant, depending only on K , l and k . Let $G \subset \mathbb{R}^n$ be an open set with regular boundary. If $G \subset \mathbb{R}^n$ is an open subset with regular boundary and \mathcal{L} satisfies $(\star)_0$ at F relatively to $G \subset \mathbb{R}^n$ then the same statement holds for U a relative open set in \overline{G} .

The next two definitions deals with maximum of functions in compact sets and will be used in the statement of the main Theorem 2.6.

Definition 2.2. Let $u : \mathbb{R}^N \rightarrow \mathbb{R}$ be continuous and a closed set $F \subset \mathbb{R}^N$. We say that u attains a local maximum at a compact subset $K \subset F$ relatively to F if there is a open neighborhood U of K in \mathbb{R}^N such that $u|_K = M$ and $u(z) \leq M$ if $z \in F \cap U \setminus K$. If $F = \mathbb{R}^N$ we simply say that u attains a local maximum at a compact subset K .

We derive from definition 2.2 a new one for Tubes structures

Definition 2.3. Let \mathcal{L} be a Tube structure defined in $\mathbb{C} \otimes T(\mathbb{R}^m \times \mathbb{R}^n)$. We say that \mathcal{L} satisfies the local maximum principle at $F \subset \mathbb{R}^n$ if for all $K \subset F$ compact $\Re u$ does not have a local maximum at $\{x_0\} \times K$ relatively to $\mathbb{R}^m \times F$ for any $x_0 \in \mathbb{R}^m$ and $u \in \mathcal{H}(\mathbb{R}^n)$, unless u is a constant. If $F = \mathbb{R}^n$ we simply say that \mathcal{L} satisfies the local maximum principle.

We shall restate for tube structures a theorem of Treves [5].

Theorem 2.4. Let $u \in \mathcal{H}(\mathbb{R}^n)$ and $V \subset \mathbb{R}^m \times \mathbb{R}^n$, be an open connected set. Then if u vanishes in V , it vanishes in all orbit \mathcal{O} (in the sense of Sussman [S]) that intercepts V .

This theorem lead us to the following definition

Definition 2.5. Let \mathcal{L} be a Tube structure in $\mathbb{C} \otimes T(\mathbb{R}^m \times \mathbb{R}^n)$. We say that a closed set $F \subset \mathbb{R}^n$ has the uniqueness property if for any compact set $K \subset F$ there is an open neighborhood U of K such that any orbit \mathcal{O} of \mathcal{L} which meets $\mathbb{R}^m \times U$ also meets $\mathbb{R}^m \times U \cap F$.

Now we state the main Theorem.

Theorem 2.6. Let \mathcal{L} be a Tube structure in $\mathbb{C} \otimes T(\mathbb{R}^m \times \mathbb{R}^n)$ and $F \subset \mathbb{R}^n$ a closed connected set with the uniqueness property. Assume that \mathcal{L} satisfies the local maximum principle at F . Then the following three statements are equivalent:

i) For any compact connected set $K \subset F$ and for any open neighborhood

$Q \supset K$ there exists an open subset U , $K \subset U \subset Q$ such that any function $u \in \mathcal{H}(F \cap Q)$ admits an unique extension to $\mathbb{R}^m \times U$, as a function in $\mathcal{H}(U)$.

ii) There is no $u \in \mathcal{H}(\mathbb{R}^n)$ and no compact subset $K \subset F$ such that $\Re u$ attains a local maximum at $\{x_0\} \times K$ relatively to $\mathbb{R}^m \times F$, unless u is constant.

iii) There is no $\theta \in S^{m-1}$ and no compact set $K \subset F$ compact such that $\theta \cdot \Phi$ attains local maximum at K relatively to F , unless $\theta \cdot \Phi$ is constant.

iv) The tube structure \mathcal{L} satisfies the condition $(\star)_0$ at F .

Proof: Suppose i) holds and ii) does not. Then one can find a compact set $K \subset F$, $x_0 \in \mathbb{R}^m$, an open neighborhood Q of K , a nonconstant $u \in \mathcal{H}(\mathbb{R}^n)$ such that $\Re u|_{\{x_0\} \times K} = M$ and $\Re u|_{\mathbb{R}^m \times F \cap Q} \leq M$. If $R \in \Im u(\{x_0\} \times K)$, the smooth function w defined in $\mathbb{R}^m \times \Re u_{M+\epsilon}$ as

$$w(x, t) = \exp[u(x, t) - (M + \epsilon + iR)]^{-1} \text{ if } (x, t) \in F \cap Q \setminus u^{-1}(\{M + \epsilon + iR\})$$

and

$$w(x, t) = 0 \text{ if } (x, t) \in \mathbb{R}^m \times F \cap Q \cap u^{-1}(\{M + \epsilon + iR\})$$

is in $\mathcal{H}(Q \cap F)$. Also $K \subset \overline{\partial(-\Re u)_{-M}}$ because \mathcal{L} satisfies the *local maximum principle* at F . The *uniqueness property* of F implies that $w_\epsilon(x, t) = \exp[u(x, t) - (M + \epsilon + iR)]^{-1}$ is the unique possible value for any extension of w to $\mathbb{R}^m \times V \setminus u^{-1}(\{M + \epsilon + iR\})$. Consequently for small ϵ the jet defined in $\mathcal{H}(F \cap Q)$ by $\{w_\epsilon\}$ cannot be extended to the fixed neighborhood $\mathbb{R}^m \times U$ of $\mathbb{R}^m \times K$ granted by i), even as a continuous function. Thus i) implies ii). That ii) implies iii) is trivial. Next assume that iii) does not hold. Then there exists $\theta \in S^{m-1}$, a compact $K \subset F$ and an open neighborhood U of K , such that $\theta \cdot \Phi$ attains a local maximum M at K , in $F \cap U$. Now $K \subset \overline{\partial(-\theta \cdot \Phi)_{-M}}$ and $K \cap (-\theta \cdot \Phi)_{-M} = \emptyset$. Then any $t \in (-\theta \cdot \Phi)_{-M} \cap V$ cannot be connected to K by any continuous path or else $(-\theta \cdot \Phi)_{-M} = \emptyset$, but this last alternative is empty since \mathcal{L} satisfies the *local maximum principle* at F . This contradicts iv). Assume now that iv) holds. Let $K_k \subset Q_k$ be an exhausting sequence of compact connected subsets for F , Q_k an open diadic cube containing K_k and $U_k \subset Q_k$ an open connected neighborhood of K_k for which the condition $(\star)_0$

holds. Denote $U = \bigcup_{k=1}^{\infty} U_k$ and B_k the ball of radius k centered in the origin in \mathbb{R}^m . Let \tilde{u} be an extension of u to $\mathbb{R}^n \times \mathbb{R}^m$, as in Lemma 2.1. Then the family of functions $\{L^\beta \partial_x^\alpha v_\epsilon, |\alpha|, |\beta| \leq l\}$ is bounded in $B_k \times U_k$ by a constant depending only on l, k . By a standard diagonal process one can find a subsequence $\epsilon_k \rightarrow 0$ such that $v_{\epsilon_k} \rightarrow v$, where $v \in C^\infty(\mathbb{R}^m \times U)$. Then $v|_F \equiv 0$ and $L(\tilde{u} - v) = 0$ in $\mathbb{R}^m \times U$. Thus $\tilde{u} - v \in \mathcal{H}(U)$ is one extension of the original jet u . The uniqueness follows from the connectedness of the U_k 's. The proof is finished.

Let $F = \varrho^{-1}(0)$ be a connected hypersurface, $\varrho_+ = \{t \in \mathbb{R}^n : \varrho(t) \geq 0\}$ and $\varrho_- = \{t \in \mathbb{R}^n : \varrho(t) \leq 0\}$ all defined by a smooth $\varrho : \mathbb{R}^n \rightarrow \mathbb{R}$

One can prove the analogue of Theorem 2.6 for an open relative neighborhood of $\varrho^{-1}(0)$ in $\varrho_- = \{t \in \mathbb{R}^n : \varrho(t) \leq 0\}$. The condition $(\star)_0$ is taken relatively to ϱ_- . Recall that \mathcal{L} satisfies $(\star)_0$ at $\varrho^{-1}(0) \subset \varrho_-$ relatively to ϱ_- if for any connected compact set $K \subset \varrho^{-1}(\{0\})$ and any open neighborhood $Q \supset K$ there exist an open relative neighborhood U of K in ϱ_- such that for all $u \in \mathcal{H}(\varrho_-)$ and $c \in \mathbb{R}$ any connected component \mathcal{C} of $\Re u_c \cap U$ is contained by a connected component \mathcal{C}' of $\Re u_c \cap Q$ with $\mathcal{C}' \cap \varrho^{-1}(0) \neq \emptyset$ or else $\Re u_c \cap U = \emptyset$.

Let $\varrho^{-1}(0)$ be a noncharacteristic hypersurface with respect to \mathcal{L} , that is $d_{\mathcal{L}}\varrho \neq 0$. If $u \in C^\infty(\mathbb{R}^m \times \varrho^{-1}(0))$, we say that u satisfies the tangential tube structure \mathcal{L} at $\varrho^{-1}(0)$ if $d_{\mathcal{L}}u \wedge d_{\mathcal{L}}\varrho(t) = 0$ for all $t \in \varrho^{-1}(0)$ and in this case it is possible to find an extension \tilde{u} , such that $\tilde{u} \in C^\infty(\mathbb{R}^m \times \varrho_-)$ and $\tilde{u}|_{\varrho^{-1}(0)} \in \mathcal{H}(\mathbb{R}^m \times \varrho^{-1}(0))$ (see [2] for details).

We now state and prove a lateral extension theorem :

Theorem 2.7. *Let $\varrho \in C^\infty(\mathbb{R}^n, \mathbb{R})$ such that $\varrho^{-1}(0)$ is connected and $d_{\mathcal{L}}\varrho \neq 0$ in $\varrho^{-1}(0)$. If \mathcal{L} is a Tube structure in $\mathbb{C} \otimes T(\mathbb{R}^m \times \mathbb{R}^n)$ which satisfies the local maximum principle at $\varrho^{-1}(0)$, then the following four assertions are equivalent:*

i) for any compact connected set $K \subset \varrho^{-1}(0)$ and for any open neighborhood $Q \supset K$ there exists an open subset U , $K \subset U \subset Q$ such that any $u \in \mathcal{H}(Q \cap \varrho^{-1}(0))$ extends to $\mathbb{R}^m \times U \cap \varrho_-$ as a jet in $\mathcal{H}(U \cap \varrho_-)$.

ii) There is no $u \in \mathcal{H}(\varrho_+)$, $K \subset \varrho^{-1}(0)$ compact and $x_0 \in \mathbb{R}^m$ such that $\Re u$ attains a local maximum at $\{x_0\} \times K$ relatively to $\mathbb{R}^m \times \varrho_+$, unless u is a

constant.

iii) There is no $\theta \in S^{m-1}$ such that $\theta \cdot \Phi|_{\varrho_+}$ attains a local maximum at K relatively to ϱ_+ unless $\theta \cdot \Phi$ is a constant.

iv) The tube structure \mathcal{L} satisfies the condition $(\star)_0$ at $\varrho^{-1}(0)$ relative to ϱ_- .

Proof: Now the proof follows the same arguments as in Theorem 2.6 except for the detail that ϱ_- is a smooth manifold with boundary $\varrho^{-1}(0)$, so one may use the mean value theorem and Lemma 2.1 to ensure uniform continuity of the family $\{L^\beta \partial_x^\alpha v_\epsilon : |\alpha|, |\beta| \leq k, 0 < \epsilon \leq 1\}$ in any compact subset of $\mathbb{R}^m \times U \cap \varrho_-$ where $U = \cup_{k=1}^\infty U_k \subset \varrho_-$.

As a consequence of Theorem 2.7 we have the following corollary, that implies Theorem 2.4 in [2].

Corollary 2.8. \mathcal{L} is a Tube structure in $\mathbb{C} \otimes T(\mathbb{R}^m \times \mathbb{R}^n)$ which satisfies the local maximum principle at ϱ_- and suppose that $\varrho^{-1}(0)$ is compact. Then $u \in \mathcal{H}(\varrho^{-1}(0))$ extends itself to ϱ_- as a jet in $\mathcal{H}(\varrho_-)$ if and only there is no $\theta \in S^{m-1}$ such that the set such that $\theta \cdot \Phi|_{(c+\varrho)_+}$ attains a local maximum at K relatively to $(c+\varrho)_+$ for all $c \in [0, +\infty)$, unless $\theta \cdot \Phi$ is a constant.

3. Proof of Lemma 2.1

We begin recalling that in Section 2 we had choose $0 < R_0 < R_1 < R_2$, and χ in $C^\infty(B_{R_1})$, where $\chi \equiv 1$ in B_{R_0} and $\omega d_{\mathcal{L}}(\chi \tilde{u})$. Let $\text{diam}(K)$ denote the diameter of K . Throughout the proof we shall assume without loss of generality that $(3+n)d = R_2 - R_1$, where

$$d = \text{diam}(K) \sup_{t \in \mathcal{Q}_K} |\nabla \Phi(t)|$$

For each $\theta \xi / |\xi|$, $\xi \in \mathbb{R}^m$ and $t \in \mathbb{R}^n$ denote by $(\Phi \cdot \theta)_{\Phi(t), \theta}$ the open set $\{s \in \mathbb{R}^n : \Phi(s) \cdot \theta < \Phi(t) \cdot \theta\}$. Let t_0 be fixed in K , $t \in U$ and $t' \in U \cap (\Phi \cdot \theta)_{\Phi(t), \theta}$. By the hypothesis $(\star)_0$ one can find $q_\theta \in \mathcal{Q}_K \cap F \cap (\Phi \cdot \theta)_{\Phi(t), \theta}$ in the same connected component of $\mathcal{Q}_K \cap (\Phi \cdot \theta)_{\Phi(t), \theta}$ to which t' belongs. Since $t \in \mathcal{Q}_K \cap \overline{(\Phi \cdot \theta)_{\Phi(t), \theta}}$ one can take t' arbitrarily close to t .

Taking advantage of the exactness in (2.3) we will choose a path Λ_ξ for each $\xi \in \mathbb{R}^m$ as a sum of two piecewise linear paths, $\Lambda_\xi = \Lambda_{\xi,0} + \Lambda_{\xi,1}$, where the path indexed by 0 links the fixed point t_0 to q_θ and the other one links q_θ to t and lies nearby $\overline{(\Phi \cdot \theta)_{\Phi(t),\theta}}$ inside \mathcal{Q}_K . By 2.5

$$\begin{aligned} v_\epsilon^{\alpha,\beta}(x, t) &= 2\pi^{-m} \int_{\Lambda} \int_{\Gamma_s} \int_{\mathbb{R}^m} \exp(i[w - z] \cdot \xi) G(\epsilon\xi) \partial_y^\alpha L^\beta \omega(s, \Re z) \wedge d\xi \wedge dz \\ &\quad + \int_{\Gamma_t} E_\epsilon(w - z) L^\beta \partial_y^\alpha (\chi u)(t, y) \wedge dy A + B \end{aligned} \quad (3.1)$$

where $B=0$ when $\beta=0$.

We will first estimate the partial sum A in 3.1. Let us write A as

$$\begin{aligned} A &= 2\pi^{-m} \int_{\mathbb{R}^m} \left(\int_{\Lambda_{\xi,0}} + \int_{\Lambda_{\xi,1}} \right) \int_{\Gamma_s} \exp(i[w - z] \cdot \xi) G_\epsilon(\xi) \partial_x^\alpha L^\beta \omega(s, \Re z) \wedge d\xi \wedge dz \\ &= \int_{\mathbb{R}^m} \int_{\Lambda_{\xi,0}} \int_{\Gamma_s} \exp(i[w - z] \cdot \xi) G_\epsilon(\xi) \partial_x^\alpha L^\beta (\chi d_{\mathcal{L}} u)(z) \wedge d\xi \wedge dz + \\ &\quad 2\pi^{-m} \int_{\mathbb{R}^m} \int_{\Lambda_{\xi,0}} \int_{\Gamma_s} \exp(i[w - z] \cdot \xi) G_\epsilon(\xi) \partial_x^\alpha L^\beta (u d_{\mathcal{L}} \chi)(z) \wedge d\xi \wedge dz + \\ &\quad 2\pi^{-m} \int_{\mathbb{R}^m} \int_{\Lambda_{\xi,1}} \int_{\Gamma_s} \exp(i[w - z] \cdot \xi) G_\epsilon(\xi) \partial_x^\alpha L^\beta (\chi d_{\mathcal{L}} u)(z) \wedge d\xi \wedge dz + \\ &\quad 2\pi^{-m} \int_{\mathbb{R}^m} \int_{\Lambda_{\xi,1}} \int_{\Gamma_s} \exp(i[w - z] \cdot \xi) G_\epsilon(\xi) \partial_x^\alpha L^\beta (u d_{\mathcal{L}} \chi)(z) \wedge d\xi \wedge dz \end{aligned} \quad (3.2)$$

Denote the first two integrals in (3.2) by the roman numerals I , II respectively and by III the sum of the last two. Then

$$I = 2\pi^{-m} \int_{\mathbb{R}^m} \int_{\Lambda_{\xi,0}} \int_{\Gamma_s} \exp(i[w - z] \cdot \xi) G_\epsilon(\xi) L^\beta \partial_x^\alpha (\chi d_{\mathcal{L}} u)(z) \wedge d\xi \wedge dz \quad (3.3)$$

The set K is connected. For each $\xi \in \mathbb{R}^n$ fixed one can find a piecewise linear path $\Lambda_{\xi,0}$ as follows; let $\nu \in N$ be such that

$$2^{-1}(\exp(-2d_A(|\xi|))) \leq 2^{-\nu} \leq (\exp(-2d_A(|\xi|))) \leq \exp(-2d_{\frac{A}{\sqrt{m}}}) \quad (3.4)$$

where $A(\rho)\frac{A}{\sqrt{m}} > 0$ if $\rho \leq A$ and $A(\rho)\frac{\rho}{\sqrt{m}}$ if $\rho > A$.

Let ϵ be a positive number and $N(K, \nu)$ the number of closed diadic cubes \mathcal{Q}_ν in of $\mathcal{C}(\nu)$ whose union is a covering of K . There exist $A > 0$ such that

$$\sum_{k=1}^{N(K, \nu)} s_{\mathcal{Q}_k}^{n+1} \leq 2^{-(n+1)\nu} N(\nu) \leq \epsilon \int_{\mathcal{Q}_K} dx \quad (3.5)$$

where the last inequality is a consequence from the fact that the upper Minkowski dimension of \mathcal{Q}_K is n . Consider a vertex t_1 in

$$\partial \cup_{k=1}^{N(K, \nu)} \mathcal{Q}_k \quad (3.6)$$

which is at a minimum distance of t_0 . Suppose that the vertex $t_{k-1} \in \mathcal{Q}_{k-1}$ is defined then select the next vertex $t_k \in \partial \cup_{k=1}^N \mathcal{Q}_k$ nearby t_{k-1} (in another cube intersecting the former one), allowing the maximum projection in the direction of the vector $\overrightarrow{t_0 q_\theta}$. In doing so we find after a finite number of steps, vertices $\{t_1, \dots, t_N\}$ of the cubes \mathcal{Q}_k , with $N \leq N(K, \nu)$, such that t_{k-1} and t_k lies in the same cube \mathcal{Q}_k , t_N is in the same cube as q_θ and t_1 is in the same cube as t_0 . Denote the polygonal line defined by $\Lambda_{\xi, 0}$ these vertices. It follows from the hypothesis on the flatness of $d_{\mathcal{L}}u$ that for any $l \in N$

$$\|L^\beta \partial_x^\alpha (\chi d_{\mathcal{L}}u)\|(y, s) \leq C|s - t^*|^{l-1-|\alpha|-|\beta|} \leq C2^{-\nu(l-[1+|\alpha|+|\beta|])} \quad (3.7)$$

for all $s \in \mathcal{Q}_k$, $t^* \in \mathcal{Q}_k \cap K \neq \emptyset$ and uniformly in $y \in B_{R_2}$. If $l - (|\alpha| + |\beta| + 1) \geq n + 1$ then by (3.4), (3.5) and (3.7) we obtain

$$\begin{aligned} |I| &\leq \sum_{k=1}^{N(K, \nu)} \int_{\mathbb{R}^m} \int_{\Lambda_{\xi, 0} \cap \mathcal{Q}_k} \int_{\Gamma_s} \exp \left[\sup_{s \in \mathcal{Q}_K} |\nabla \Phi(s)| |t_0 - s| |\xi| \right] \|L^\beta \partial_x^\alpha (\chi u)\|(z, s) dz ds d\xi = \\ &\sum_{k=1}^{N(K, \nu)} \sup_{s \in \mathcal{Q}_K} \int_{\mathbb{R}^m} \exp \left[\sup_{s \in \mathcal{Q}_K} |\nabla \Phi(s)| |t_0 - s| |\xi| \right] |t_{k-1} - t_k| \int_{\Gamma_s} \|L^\beta \partial_x^\alpha (\chi d_{\mathcal{L}}u)\|(z) dz d\xi \leq \\ &\sup_{s \in \mathcal{Q}_K} \int_{\mathbb{R}^m} \exp \left[(|\nabla \Phi(s)| |p - s| - 2d) |\xi| \right] d\xi \int_{\Gamma_s \cap \text{supp}_\chi} dz \sqrt{n} N(K, \nu) 2^{\nu(l - (|\alpha| + |\beta| + 1))} \leq \\ &\int_{\mathbb{R}^m} \exp(-d|\xi|) d\xi \sup_{s \in \mathcal{Q}_K} \int_{\Gamma_s \cap \text{supp}_\chi} dz \sqrt{n} N(K, \nu) 2^{\nu(l - (|\alpha| + |\beta| + 1))} d\xi \sqrt{n} \leq N(\nu) 2^{n+1} \\ &\leq C\epsilon \end{aligned} \quad (3.8)$$

The path $\Lambda = \Lambda_{\xi,0} + \Lambda_{\xi,1}$ is fixed for each $\xi \in \mathbb{R}^m$. Apply Fubini theorem and the fact $\exp(i[x + i\Phi(t)] \cdot \xi) = \exp(ix \cdot \xi) \exp(-\Phi(t) \cdot \xi)$, to write

$$\begin{aligned} II &= 2\pi^{-m} \int_{\mathbb{R}^m} \int_{\Lambda_{\xi,0}} \int_{\Gamma_s} \exp(i[w - z] \cdot \xi) G_\epsilon(\xi) L^\beta \partial_x^\alpha (ud_{\mathcal{L}}\chi)(s, \Re z) \wedge dz \wedge d\xi = \\ &2\pi^{-m} \int_{\mathbb{R}^m} \int_{\mathbb{R}^m} \int_{\Lambda_{\xi,0}} \exp(i[x + i\Phi(t) - y - \Phi(s)] \cdot \xi) G_\epsilon(\xi) L^\beta \partial_x^\alpha (ud_{\mathcal{L}}\chi)(s, y) \wedge d\xi \wedge dy \end{aligned} \quad (3.9)$$

The integrand in (3.9) is analytic in the ξ -variable. The exponential decay of G_ϵ allows change of integration domain from $\xi \in \mathbb{R}^m$ to $\zeta \in \mathbb{C}_A^m$, where

$$\mathbb{C}_A^m = \{\zeta \in \mathbb{C}^m : \zeta_j = \xi_j + iA(|\xi|) \operatorname{sgn}(x_j - y_j)\} \text{ for } j = 1, \dots, m \quad (3.10)$$

Then

$$\begin{aligned} &|\exp(i[w - z] \cdot \zeta)| \exp(-[\Phi(s) - \Phi(t)] \cdot \xi - (x - y) \cdot A(|\xi|) \operatorname{sgn}(x - y)) \leq \\ &\exp(|\Phi(s) - \Phi(t)| |\xi| - A(|\xi|)|x - y|) \leq \exp(|\xi| [|\Phi(s) - \Phi(t)| - (3 + n)\mathbf{d}]) \leq \\ &\exp\left(\sup_{s \in \mathcal{Q}_K} |\nabla \Phi(s)| t_0 - s ||\xi| - (3 + n)\mathbf{d}A(|\xi|)\right) \leq \exp(-(n + 2)\mathbf{d}A(|\xi|)) \end{aligned} \quad (3.11)$$

As w is confined to $\overline{B_{R_0}} \times K$, while z is in $\overline{B_{R_2}} \setminus \overline{B_{R_1}} \times K$, we get

$$\begin{aligned} |II| &\leq 2\pi^{-m} \int_{\mathbb{R}^m} \int_{\mathbb{R}^m} \int_{\Lambda_{\Re \zeta, 0}} \exp(-(n+2)\mathbf{d}A(|\Re \zeta|)) G_\epsilon(\zeta) \|L^\beta \partial_x^\alpha (ud_{\mathcal{L}}\chi)\|(s, y) \wedge d\zeta \wedge dy \leq \\ &2\pi^{-m} \sup_{s \in \mathcal{Q}_K} \int_{B_{R_2}} \|L^\beta \partial_x^\alpha (ud_{\mathcal{L}}\chi)\|(s, y) dy \int_{\mathbb{R}^m} \exp(-\mathbf{d}A(|\Re \zeta|)) d\zeta 2\sqrt{n}N(K, \nu)(2^\nu)^{n+1} \leq \\ &C2\sqrt{n}N(\nu)(2^\nu)^{n+1} \leq C'\epsilon \end{aligned} \quad (3.12)$$

by (3.11), (3.4) and the fact that G_ϵ is bounded in C_A^m by 1 for $|\Re \zeta| > A$. If $|\Re \zeta| \leq A$ we must observe the constraints imposed by (3.4) and (3.5). We choose ϵ such that $2^{-1} \exp(-2\mathbf{d}A) \leq \epsilon \leq 4\mathbf{d}\sqrt{m}A^{-1}$, to obtain $-\mathbf{d}A + 4^{-1}\epsilon m^{-1}A^2 \leq 0$.

This shows in view of (3.12) and (3.8) for $w_0 = x + i\Phi(t_0)$ and $w = x + i\Phi(t)$, that

$$\lim_{\epsilon \rightarrow 0} v_\epsilon^{\alpha, \beta}(w) - v_\epsilon^{\alpha, \beta}(w_0) =$$

$$\begin{aligned}
& \lim_{\epsilon \rightarrow 0} 2\pi^{-m} \left(\int_{\Gamma_t} - \int_{\Gamma_{t_0}} \right) \int_{\mathbb{R}^m} \exp(i[w-z] \cdot \xi) G_\epsilon(\xi) L^\beta \partial_x^\alpha (\chi u(z)) d\xi \wedge dz \\
& = \lim_{\epsilon \rightarrow 0} \left(\int_{\Gamma_t} - \int_{\Gamma_{t_0}} \right) E_\epsilon(w-z) L^\beta \partial_x^\alpha (\chi u(z)) dz = 0
\end{aligned} \tag{3.13}$$

in $\overline{B_{R_0}} + iK$. Thus

$$v_\epsilon^{\alpha, \beta}(w) = (\pi\epsilon)^{-m/2} \int_{\Gamma_{t_0}} E_\epsilon(w-z) L^\beta \partial_x^\alpha (\chi u(z)) dz + O(\epsilon)$$

if $n+1 \leq l - (|\alpha| + |\beta| + 1)$, uniformly in $\overline{B_{R_0}} \times K$ and it is well known that

$$\int_{\Gamma_t} E_\epsilon(w-z) L^\beta \partial_x^\alpha (\chi u(z)) dz$$

converges uniformly to $L^\beta \partial^\alpha u$ in $\overline{B_{R_0}} \times \{t\}$ as $\epsilon \rightarrow 0$.

This last step provide a semilocal version of the Baouendi-Treves approximation theorem [5] for jets in $\mathcal{H}(K)$ where $K \subset \mathbb{R}^n$ is a compact set having the following property: *any two points of K can be linked by a rectifiable curve within K of length bounded by a fixed constant.* (In this context the Baouendi-Treves approximation theorem asserts that any $u \in \mathcal{H}(K)$ can be approximated by a sequence of polynomials $P_k(Z(x, t))$ in $\mathcal{H}(K)$).

We may conclude that $v_\epsilon^{\alpha, \beta}$ converges uniformly to $L^\beta \partial^\alpha u$ in $\overline{B_{R_0}} \times K$, as long as $|\alpha| + |\beta| \leq l - (n + 2)$.

Now we estimate the last partial sum. We select a piecewise linear $\Lambda_{\epsilon, 1}$ path quite in the same way as we did before. We know by hypothesis that we can find t' and q_θ in a same component of $\mathcal{Q}_K \cap (\Phi \cdot \theta)_{\Phi(t), \theta}$ with $q_\theta \in F$ and t' arbitrarily close to t .

Let $N(\xi, t)$ be the minimum number of closed cubes $\mathcal{Q}_\nu \in \mathcal{C}(\nu)$ whose union covers $\mathcal{Q}_K \cap \overline{(\Phi \cdot \theta)_{\Phi(t), \theta}}$ and such that $\mathcal{Q}_\nu \cap \mathcal{Q}_K \cap (\Phi \cdot \theta)_{\Phi(t), \theta} \neq \emptyset$. Moreover we choose ν such that

$$2(1 + |\xi|^2)^{-\frac{1}{2}} \geq 2^\nu \geq (1 + |\xi|^2)^{-\frac{1}{2}} \tag{3.14}$$

We always have

$$\sum_{k=1}^{N(\xi, t)} 2^{\nu n} \leq N(\nu) 2^\nu = \int_{\mathcal{Q}_K} dx \tag{3.15}$$

We will select the vertices of $\Lambda_{\xi,1}$ in $\cup_{k=1}^{N(\xi,t)} \partial \mathcal{Q}_k$. Consider a vertex t_1 in the boundary of one of $N(\xi, t)$ cubes in the covering of

$$\mathcal{Q}_K \cap (\Phi \cdot \theta)_{\Phi(t) \cdot \theta} \quad (3.16)$$

which contains the point q_θ . Suppose that the vertex $t_{k-1} \in \mathcal{Q}_{k-1}$ is defined. Then select the next vertex t_k in the boundary of another cube \mathcal{Q}_k in the covering of $\mathcal{Q}_K \cap (\Phi \cdot \theta)_{\Phi(t) \cdot \theta}$ distinct of \mathcal{Q}_{k-1} , with $\mathcal{Q}_k \cap \mathcal{Q}_{k-1} \neq \emptyset$, allowing the maximum projection in the direction of the vector $\overrightarrow{q_\theta t}$. As before in the construction of $\Lambda_{\xi,0}$ we find after at most $N(\xi, t)$ number of steps, vertices $\{t_1, \dots, t_N\}$ of the cubes \mathcal{Q}_k such that t_{k-1} and t_k lies in a same cube \mathcal{Q}_k . The point t_1 is in the same cube as q_θ and the point t_N will be in the same cube as t . The process is fulfilled because there is a cube which contains t and in its interior points t' of $\mathcal{Q}_K \cap (\Phi \cdot \theta)_{\Phi(t) \cdot \theta}$ which are in the same connected component of q_θ . Denote the polygonal line defined by these vertices by $\Lambda_{\xi,1}$. It follows from (3.15) that

$$(1 + |\xi|^2)^{-\frac{1}{2}(n-1)} N 2^{-\nu} \leq N(\xi, t) 2^{-n\nu} \leq N(\nu) 2^{-n\nu} = \int_{\mathcal{Q}_K} dx \quad (3.17)$$

Integrating *III* by parts with respect to $P(\partial_x)$, where $P(X) = 1 - \sum_{j=1}^m X_j^2$, at least $k = \left\lceil \frac{m+n}{2} \right\rceil$ times we get

$$III = 2\pi^{-m} \int_{\mathbb{R}^m} \int_{\Lambda_{\xi,1}} \int_{\Gamma_s} \exp(i[w - z] \cdot \xi) G(\epsilon\xi) P^{-k}(-i\xi) P^k(\partial_x) L^\beta \partial_x^\alpha \omega(z) \wedge d\xi \wedge dz \quad (3.18)$$

and each point of $\Lambda_{\xi,1}$ is within $\sqrt{n}2^\nu$ of some point of $\mathcal{Q}_K \cap (\Phi \cdot \theta)_{\Phi(t) \cdot \theta}$. Then we can bound the exponential integrand in *III* by

$$2\pi^{-m} \left| \int_{\mathbb{R}^m} \int_{\Lambda_{\xi,1}} \int_{\Gamma_s} \exp(i[w - z] \cdot \xi) G(\epsilon\xi) P^{-k}(-i\xi) P^k(\partial_x) L^\beta \partial_x^\alpha \omega(z) \wedge d\xi \wedge dz \right| \leq$$

$$C(K, l) \sum_{k=1}^{N(\xi,t)} \int_{\mathbb{R}^m} \exp\left(\sup_{s \in \mathcal{Q}_K} |\nabla \Phi(s)| \sqrt{n} 2^{-\nu} |\xi|\right) n^{\frac{n}{2}} 2^{-n\nu} P^{-k+\frac{n}{2}}(-i\xi) d\xi \quad (3.19)$$

where $C(K, l) = 2\pi^{-m} \sup_{s \in \mathcal{Q}_K} \int_{\Gamma_s} \|P^{\mathbf{k}}(\partial_x) L^\beta \partial_x^\alpha \omega(s, \Re z)\| dz$. Now from 3.18 we can estimate 3.19 and get

$$\begin{aligned} n^{\frac{n}{2}} C(K, l) \exp\left(\sqrt{n} C \sup_{s \in \mathcal{Q}_K} |\nabla \Phi(s)|\right) N(\xi, t) 2^{-n\nu} \int_{\mathbb{R}^m} P^{-\mathbf{k} + \frac{n}{2}}(-i\xi) d\xi \leq \\ n^{\frac{n}{2}} C(K) \exp\left(\sqrt{n} C \sup_{s \in \mathcal{Q}_K} |\nabla \Phi(s)|\right) N(\nu) 2^{-n\nu} \int_{\mathbb{R}^m} P^{-\mathbf{k} + \frac{n}{2}}(-i\xi) d\xi \leq C(K, \mathbf{k}, l) \end{aligned} \quad (3.20)$$

Finally the partial sum B in 3.1 is given by

$$v_\epsilon^{\alpha, \beta}(x, t) = \int_{\Gamma_t} E_\epsilon(x - y) L^\beta \partial_y^\alpha (\chi u)(t, z) \wedge dz \quad (3.21)$$

which converges uniformly to $L^\beta \partial_y^\alpha (\chi u)(\cdot, t)$ in $\mathbb{R}^m \times \mathcal{Q}_K$. This proves the first part of the Lemma. We omit the rest of the proof as it uses essentially the same ideas; we only remind that in this case we must construct paths Λ_ξ reaching a point in $F \cap \partial G$ laying inside G and this can be done since ∂G is a regular manifold. The proof is finished.

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