


**VIRTUALLY FREE PRO-P GROUPS****Pavel A. Zalesskii** \* 

In this paper we overview recent results on virtually free pro- $p$  groups, i.e., pro- $p$  groups having open free subgroups. We describe also applications of these results to the study of automorphisms of finite order of free pro- $p$  groups. Some of the interest in this topic comes from number theory; for instance, virtually free pro-2 groups have been studied in the context of Galois theory in [Haran 93], [Engler 95].

Well-known result on virtually free pro- $p$  groups was obtained by Serre in a seminal paper [Serre 65]:

**Theorem 1.** [Serre 65] *Let  $G$  be a pro- $p$ -group and  $F$  an open free pro- $p$  subgroup of  $G$ . If  $G$  is torsion free, then  $G$  is also a free pro- $p$  group.*

The next result is the pro- $p$  analogue of the Dyer-Scott theorem [Dyer-Scott 75]. It has been proved by Scheiderer in the finitely generated case and extended by W.Herfort, L.Ribes and P. Zalesskii to the general case.

**Theorem 2.** [Scheiderer 98], [Herfort-Ribes-Zalesskii 98]. *If  $G$  is a pro- $p$  group having a free pro- $p$  subgroup  $F$  of index  $p$  then*

$$G \cong \left( \coprod_{x \in X} (C_p \times H_x) \right) \amalg H,$$

*is a free pro- $p$  product, where  $C_p$  denotes the group of order  $p$ ,  $H_x, H$  are free pro- $p$  subgroups of  $F$  and  $X$  is the space of conjugacy classes of subgroups of order  $p$  in  $G$ .*

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A characterization of cyclic extensions of free pro- $p$  groups as the fundamental groups of a graph of groups in the category of pro- $p$  groups is given in the following

**Theorem 3.** [Herfort-Zalesskii 99] *Let  $G$  be a cyclic extension of a free pro- $p$  group, i.e., there is an exact sequence*

$$1 \longrightarrow F \longrightarrow G \longrightarrow C_{p^n} \longrightarrow 1,$$

where  $F$  is a free pro- $p$  group and  $C_{p^n}$  is a cyclic group of order  $p^n$  for  $n \in \mathbb{N}$ . Then  $G$  is the fundamental group of a profinite graph of finite  $p$  groups of bounded order.

Furthermore, free-by-cyclic pro- $p$  groups admit an internal description as a free product along the lines of Theorem 2.

**Theorem 4.** [Herfort-Zalesskii 99] *Let  $G$  be a cyclic extension of a free pro- $p$  group  $F$ . Then*

$$G \cong \coprod_{x \in X} N_G(C_x) \coprod H,$$

is a free pro- $p$  product, where  $\{C_x \mid x \in X\}$  is a system of representatives of conjugacy classes of subgroups of order  $p$  in  $G$ ,  $N_G(C_x)$  is the normalizer of  $C_x$  in  $G$ , and  $H$  is a free pro- $p$  subgroup of  $F$ .

It turns out that Theorem 3 is the best possible result one can obtain without restrictions on the rank of  $F$ . An example of a semidirect product  $F \rtimes (C_2 \times C_2)$  which cannot be represented as the fundamental group of a profinite graph of finite  $p$  groups is given. The reason for this seems to be purely topological, arising from the fact that for a pro- $p$  group acting on a profinite space  $X$  of large cardinality (for example  $\aleph_2$ ) the quotient map  $X \longrightarrow X/G$  does not always admit a continuous section. It is reasonable to believe that similar characterization is likely to be true if the rank of the free subgroup  $F$  is not

so large, in particular, when it is finite. The following result provides strong support for this.

**Theorem 5.** [Herfort-Ribes-Zalesskii (1) 98] *Let  $G$  be a finite extension of free pro- $p$  group of rank( $F$ )  $< 3$ . Then  $G$  is the fundamental pro- $p$  group of a finite graph of finite  $p$ -groups.*

In fact, the information obtained in [Herfort-Ribes-Zalesskii (1) 98] is very precise. For example the next theorem gives a very explicit description of finite extensions of a free group of rank 2 having trivial center.

**Theorem 6.** [Herfort, Ribes, Zalesskii (1) 98] *Let  $G$  be a pro- $p$ -group with trivial center having an open normal free subgroup  $F$  of rank 2. Then  $G$  has one of the following structures:*

- 1)  $G$  is a free pro- $p$  group of finite rank.
- 2)  $p = 3$  and  $G \simeq C_3 \amalg C_3$
- 3)  $p = 2$  and  $G$  has one of the following forms:
  - a)  $G \simeq C_2 \amalg C_2 \amalg C_2$ ;
  - b)  $G \simeq C_2 \amalg \mathbb{Z}_2$ ;
  - c)  $G \simeq C_2 \amalg (C_2 \times \mathbb{Z}_2)$ ;
  - d)  $G \simeq C_4 \amalg C_2$ ;
  - e)  $G \simeq (C_2 \times C_2) \amalg C_2$ ;
  - f)  $G \simeq (\mathbb{Z}_2 \times C_2) \amalg_{C_2} (C_2 \times C_2) \amalg_{C_2} (C_2 \times \mathbb{Z}_2)$

The counterexample mentioned above provides also a counterexample to a pro- $p$  analogue of the Kurosh Subgroup Theorem. In the case of countably based pro- $p$  groups such an analogue was proved by D.Haran [Haran 87] and O.V.Melnikov [Melnikov 90] independently.

We now turn to automorphisms of free pro- $p$  groups. It is well-known that the automorphism group  $\text{Aut}(F)$  of a free pro- $p$  group of finite rank is a profinite group, in fact a virtually pro- $p$  group, i.e.  $\text{Aut}(F)$  contains an open pro- $p$  subgroup. It follows that one can consider the order of an automorphism of  $F$  as supernatural number  $rp^t$ , where  $r$  is a natural number relatively prime to  $p$  and  $0 \leq t \leq \infty$ .

By a celebrated theorem of Gersten [Gersten 86] the group of fixed points of an automorphism of a free abstract group of finite rank is finitely generated. The next theorem shows that the pro- $p$  analogue of this theorem does not hold, unless one makes some restrictions on the order of the automorphism.

**Theorem 7.** [Herfort-Ribes 90] *Let  $F$  be a free pro- $p$  group of rank  $(F) = n > 1$  and  $\alpha$  an automorphism of  $F$ . If the order  $m$  of  $\alpha$  is coprime to  $p$ , then the rank of the group of fixed points  $\text{Fix}_F(\alpha)$  is necessarily infinite.*

This result is less surprising if one considers the holomorph  $F \rtimes \langle \alpha \rangle$ , and  $\text{Fix}_F(\alpha)$  as the centralizer of  $\alpha$ . Indeed, the properties of virtually free pro- $p$  groups are in many cases similar to those of abstract free groups, but may change radically if one is at some point forced to go outside the class of pro- $p$  groups. Thus, one might reasonably conjecture that the appropriate pro- $p$  analogue of Gersten's theorem will require in addition that the holomorph be a pro- $p$  group, or, equivalently, that the order of  $\alpha$  be  $p^t$  for  $0 \leq t \leq \infty$ .

**Conjecture** [Herfort-Ribes-Zalesskii 95] *Let  $F$  be a free pro- $p$  group of rank  $n$ , and  $\alpha$  an automorphism of  $F$  of order  $p^t$  ( $0 \leq t \leq \infty$ ). Then the rank of  $\text{Fix}_F(\alpha)$  is at most  $n$ .*

If the order of the automorphism  $\alpha$  is finite, an affirmative answer to this conjecture follows from Theorem 2. Indeed, in this case the holomorph  $F \rtimes \langle \alpha \rangle$  is a virtually free group, and its subgroup  $F \rtimes \langle \alpha^{p^{t-1}} \rangle$  satisfies the assumptions of Theorem 2. Hence by applying that theorem subsequently  $t$  times in succession

(or using induction on  $t$ ) one deduces the following result.

**Theorem 8.** [Scheiderer 98], [Herfort-Ribes-Zaleskii 98] *Suppose  $F$  is a free pro- $p$  group and  $\alpha$  is an automorphism of  $F$  of order  $p^t$  ( $t < \infty$ ). Then the set of fixed points  $\text{Fix}_F(\alpha)$  is a free factor of  $F$ . In particular,  $\text{rank}(\text{Fix}_F(\alpha)) \leq \text{rank}(F)$ .*

It is known that the automorphism group  $\text{Aut}(F_n)$  of a free pro- $p$  group of rank  $n > 1$  is much more complicate than the automorphism group  $\text{Aut}(\Phi_n)$  of the abstract free group  $\Phi_n$  of rank  $n$ . Although  $\text{Aut}(\Phi_n)$  is embedded in  $\text{Aut}(F_n)$ , it is by no means dense there. In fact, V.Romankov has proved that  $\text{Aut}(F_n), n > 1$ , is (topologically) infinitely generated! (See [Romankov 93]). Nevertheless, Theorem 4 affords a description of the conjugacy classes of the automorphisms of finite order of a free pro- $p$  group.

**Theorem 9.** [Herfort-Zaleskii 99] *Let  $F$  be a free pro- $p$  group.*

- (1) *There exists a dense abstract free subgroup  $\Phi$  of the same rank as  $F$ , such that each conjugacy class of automorphisms of order  $p^n$  in  $\text{Aut}(F)$  intersects precisely one conjugacy class of automorphisms of order  $p^n$  in  $\text{Aut}(\Phi)$ ;*
- (2) *The conjugacy classes of automorphisms of  $F$  having order  $q$  coprime to  $p$  are in one-to-one correspondence to the conjugacy classes of automorphisms of order  $q$  of the Frattini quotient  $F/F^*$ .*

An application of Theorem 6 gives a precise list of the number of conjugacy classes of a given order automorphism of a free pro- $p$  group of rank 2.

**Theorem 10.** [Herfort, Ribes, Zaleskii (1) 98] *Let  $S$  denote the set of all possible orders of torsion elements of the automorphism group  $\text{Aut}(F_2)$  of a free pro- $p$  group of rank 2. Let  $c(s)$  be the number of conjugacy classes of automorphisms of order  $s$ . Then*

- (1) if  $s$  is coprime to  $p$ , then  $s \mid p^2 - 1$ , and one of the following holds:
- (a)  $s \mid p - 1$  and  $c(s) = \phi(s)s$
  - (b)  $s \mid p - 1$  and  $c(s) = \frac{\phi(s)}{2}$ ,
- where  $\phi$  denotes Euler's function;
- (2) if  $p = 2$ , then  $S = \{2, 3, 4\}$  and  $c(2) = 4$ ,  $c(3) = 1$ ,  $c(4) = 1$ ;
- (3) if  $p = 3$ , then  $S = \{2, 3, 4, 8\}$  and  $c(2) = 2$ ,  $c(3) = 1$ ,  $c(4) = 1$ ,  $c(8) = 2$ ;
- (4) if  $p > 3$ , then any  $s \in S$  is coprime to  $p$ , so that the formulas in (1) hold.

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