

# Forbidden subgraph characterization of extended star directed path graphs that are not rooted directed path graphs

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## Abstract

An *asteroidal triple* in a graph is a set of three non-adjacent vertices such that for any two of them there exists a path between them that does not intersect the neighborhood of the third. An *asteroidal quadruple* is a set of four non-adjacent vertices such that any three of them is an asteroidal triple. In this paper, we study a subclass of directed path graph, the class of *extended star directed path graph* i.e directed path graph which admits a directed model with exactly one vertex of degree at least three, and give a characterization for extended star directed path graphs non rooted directed path graphs in terms of asteroidal quadruples. As byproduct, we show the family of induced forbidden subgraphs for this class.

## 1 Introduction

A graph is *chordal* if it contains no cycle of length at least four as an induced subgraph. A classical result [Gav74] states that a graph  $G$  is chordal if and only if there is a tree  $T$ , called *clique tree*, whose vertices are the maximal cliques of the graph and for every vertex  $x$  of  $G$  the

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maximal cliques that contain  $x$  induce a subtree in the tree which we will denote by  $T_x$ . Note that  $G$  is the intersection graph of the vertex sets of subtrees  $(T_x)_{x \in V(G)}$ . Clique trees are also called *models* of the graph.

Natural subclass of chordal graphs are path graphs, directed path graphs, rooted directed path graphs and extended star directed path graphs.

A graph  $G$  is a *path graph* if it admits a *path model*, i.e a clique tree  $T$  such that  $T_x$  is a subpath of  $T$  for every  $x \in V(G)$ . A graph  $G$  is a *directed path graph* if it admits a *directed model*, i.e a clique tree  $T$  whose edges can be directed such that  $T_x$  is a directed subpath of  $T$  for every  $x \in V(G)$ . A graph  $G$  is a *rooted directed path graph* if it admits a *rooted model*, i.e a directed model that can be rooted.

A graph  $G$  is an *extended star directed path graph* if it admits an *extended star directed model*, i.e a directed model which has only one vertex of degree at least three. Clearly, split graphs that are directed path graphs are star directed path graphs but there exist star directed path graphs non split graphs.

An *asteroidal triple* in a graph is a set of three non-adjacent vertices such that for any two of them there exists a path between them that does not intersect the neighborhood of the third. An *asteroidal quadruple* is a set of four non-adjacent vertices such that any three of them is an asteroidal triple.

It is certainly too difficult to characterizing rooted path graph by forbidden induced subgraphs as there are too many (families of) graphs to exclude but Cameron, Hoáng and Lévêque [CHL09] suggest that rooted directed path graphs could be characterized by forbidding some particular type of asteroidal quadruples whose vertices are linked by a special connections. In [GLT15] it has been proved that directed path graphs non rooted directed path graphs have an asteroidal quadruple. In [GT13] it has been found the family of forbidden induced subgraph for a subclass of directed path graphs.

In the present work, we will only need two types of special connections which are defined in [CHL09]. A *special connection* linking  $a_1$  and  $a_2$  is an

induced subgraphs of one of the following forms: *Type 1*: vertices  $a_1, w, a_2$  and edges  $a_1w, a_2w$ ; *Type 2*: vertices  $a_1, a, b, c, d, a_2$  and edges  $a_1a, a_1b, ab, bc, cd, bd, ac, a_2c, a_2d$ . It has been proved in [CHL09] that if  $G$  is a directed path graph and  $a_1, a_2$  are two non-adjacent vertices that are linked by a special connection of Type 1 or Type 2 then for every directed model  $T$  of  $G$ , the subpath  $T(a_1, a_2)$  is a directed path. As a consequence of this, they have proved that if  $a_1, a_2, a_3, a_4$  is an asteroidal quadruple of  $G$ , a directed path graph, such that  $a_1, a_2(a_3, a_4)$  are linked by a special connection of Type 1 or Type 2 then  $G$  is not a rooted directed path graph.

In this paper, we define extended star directed path graph. Hence we give a characterization for extended star directed path graphs non rooted directed path graphs in terms of asteroidal quadruples. As byproduct, we show the family of forbidden induced subgraph for this class.

The paper is organized as follows: In section 2, we present definitions, notation and give properties about directed models. Finally, in section 3, we prove the characterization of extended star directed path graphs non rooted directed path graphs and show the family of forbidden induced subgraph for this class.

## 2 Preliminaries

A *clique* in a graph  $G$  is a set of pairwise adjacent vertices. Let  $\mathbf{C}(G)$  be the *set of all maximal cliques* of  $G$  and  $C_x(G)$  be the set of all maximal cliques that contain the vertex  $x$  of  $G$ ; when the graph is known by context we only denote by  $C_x$ .

The *neighborhood* of a vertex  $x$  is the set  $N(x)$  of vertices adjacent to  $x$  and the *closed neighborhood* of  $x$  is the set  $N[x] = \{x\} \cup N(x)$ . A vertex is *simplicial* if its closed neighborhood is a maximal clique. Two vertices  $x$  and  $y$  are *twins* if  $N[x] = N[y]$  and they are *false twins* if  $N(x) = N(y)$ .

Let  $T$  be a clique tree. We often use capital letters to denote the vertices of a clique tree as these vertices correspond to maximal cliques of  $G$ . In

order to simplify the notation, we often write  $X \in T$  instead of  $X \in V(T)$ , and  $e \in T$  instead of  $e \in E(T)$ . If  $T'$  is a subtree of  $T$ , then  $G_{T'}$  denotes the subgraph of  $G$  that is induced by the vertices of  $\cup_{X \in V(T')} X$ .

Let  $T$  be a tree. For  $V' \subseteq V(T)$ , let  $T[V']$  be the minimum subtree of  $T$  containing  $V'$ . Then for  $X, Y \in V(T)$ ,  $T[X, Y]$  is the subpath of  $T$  between  $X$  and  $Y$ . Let  $T[X, Y] = T[X, Y] \setminus Y$ ,  $T(X, Y) = T[X, Y] \setminus X$  and  $T(X, Y) = T[X, Y] \setminus \{X, Y\}$ .

Let  $T$  be a clique tree of  $G$ . Let  $\mathbf{D}(T)$  (or  $\mathbf{D}$  for short) be the vertices of  $T$  of degree at least three. Observe that if  $T$  is not a path and  $H$  is a leaf of  $T$  then there exists  $Q \in T[\mathbf{D}]$  such that  $T[H, Q] \cap T[\mathbf{D}] = \{Q\}$ . In this case, we say that  $T[H, Q]$  is a *branch* of  $T$  incident to  $Q$ . In a clique tree  $T$ , the *label* of an edge  $AB$  of  $T$  is defined as  $lab(AB) = A \cap B$ . We say that  $e' \in E(T)$  *dominates*  $e \in E(T)$  or  $e$  is *dominated by*  $e'$  if  $lab(e) \subseteq lab(e')$ .

When  $|V(T[H_i, Q])| > 2$  in a branch  $T[H_i, Q]$ , we denote by  $e_i = A_i B_i$ , the edge of  $T[H_i, Q]$  such that  $A_i$  is the neighbor of  $H_i$  and  $B_i \in T[A_i, Q]$ , and by  $e'_i$  an edge dominated by  $e_i$  maximally farthest from  $e_i$  if there exists.

If  $G$  is a graph and  $V' \subseteq V(G)$ , then  $G \setminus V'$  denotes the subgraph of  $G$  induced by  $V(G) \setminus V'$ . If  $E' \subseteq E(G)$ , then  $G - E'$  denotes the subgraph of  $G$  induced by  $E(G) \setminus E'$ . If  $G, G'$  are two graphs, then  $G + G'$  denotes the graph whose vertices are  $V(G) \cup V(G')$  and edges are  $E(G) \cup E(G')$ . Note that if  $T, T'$  are two trees such that  $|V(T) \cap V(T')| = 0$ , then  $T + T'$  is a forest.

We denote by  $ln(T)$  the number of leaves of  $T$ . The *leafage* of a chordal graph  $G$ , denoted by  $l(G)$  is a minimum integer  $\ell$  such that  $G$  admits a model  $T$  with  $ln(T) = \ell$ .

We say that a tree  $T$  is an *extended star* if there is only one vertex of degree at least three in  $T$ .

**Theorem 1.** [GLT15] Let  $G$  be a directed path graph non rooted path graph. Then  $G$  has an asteroidal quadruple.

**Lemma 2.1.** [GT13] *Let  $G$  be a directed path graph minimally non rooted path graph, let  $T$  be a directed model of  $G$  with  $l(G) \geq 4$ , let  $H$  be a leaf of  $T$  and  $T[H, Q]$  be a branch of  $T$ . 1) If  $e \in T(H, Q)$  then i) it has a dominated edge outside  $T[H, Q]$ ; ii) There are at least two vertices  $x, y \in \text{lab}(e)$  such that  $T_x$  and  $T_y$  have different ends towards  $Q$ . 2) Let  $X, Y$  be vertices in  $T[H, Q]$  then there are not two edges in  $T[X, Y]$  with the same label.*

### 3 Characterization of star directed path graphs non rooted path graphs.

**Theorem 2.** *Let  $G$  be an extended star directed path graph, minimally non rooted directed path graph, let  $T$  be an extended star directed model of  $G$  and  $Q$  be the vertex of  $T$  of degree at least three. 1) If  $H$  is a leaf of  $T$  then  $G \setminus H$  is a connected graph. 2) Let  $e$  and  $e'$  be edges in two branches of  $T$  with the same label. Then the simplicial vertices of the leaves of  $T$  in those branches are linked by a special connection of Type 1 or Type 2. 3) Let  $H_1, H_2$  be leaves of  $T$ , and  $e'_1 \in T[H_2, Q]$ . Then the simplicial vertices of  $H_1$  and  $H_2$  are linked by a special connection of Type 1 or Type 2.*

**Proof.** 1) By way of contradiction, let  $e = AB$  be an edge farthest from  $H$  such that  $B \in T[A, Q]$  and  $\text{lab}(e) \subset H$ . By our assumption,  $A \neq H$ . By Lemma 2.1.1.ii and since  $T$  is a star,  $e \in T(H, Q)$ . Let  $T' = T - T[H, A]$ . Clearly  $G_{T'}$  is a rooted path graph. Let  $T'_1$  be a rooted model. By our choice of  $e$ ,  $A$  is a leaf of  $T'_1$ . Let  $T_1 = T'_1 + T[A, H]$ . It is easy to verify that  $T_1$  is a rooted model of  $G$ , a contradiction.

2) Let  $T[H_1, Q]$  and  $T[H_2, Q]$  be the branches that contain  $e$  and  $e'$  respectively. As  $G$  is a chordal graph and  $ln(T) \geq 3$ ,  $G$  has at least three simplicial vertices. Let  $a_1, a_2$  be simplicial vertices of  $G$  such that  $N[a_i] = H_i$  for  $i = 1, 2$ . By minimality of  $G$ , it does not have false twins then  $e$  and  $e'$  are not incident to  $H_1$  and  $H_2$  (otherwise  $a_1$  and  $a_2$  are false twins). If one of them is incident to a leaf, for example  $e$  incident to  $H_1$ , then every vertex of  $\text{lab}(e')$  has the same end towards  $Q$ , which is equal

$H_1$ , contradicting Lemma 2.1.1.ii. Hence none of them is incident to a leaf.

Let  $e \in T[H_1, Q]$  and  $e' \in T[H_2, Q]$  maximizing the distance among all pair of edges with the same label. By 1)  $G \setminus H_i$  for  $i = 1, 2$  is a connected graph.

Let  $A_1, A_2$  be the neighbors of  $H_1, H_2$  respectively. Since  $e$  is not incident to  $H_1$ ,  $|E(T[H_1, Q])| > 1$ . It follows that  $A_i \neq Q$  for  $i = 1, 2$ . Let  $e_1 = A_1B_1 \in T[H_1, Q]$ ,  $B_1$  may be  $Q$ . Analogously, let  $e_2 = A_2B_2 \in T[H_2, Q]$ . By Lemma 2.1.1.i, there exist  $e'_1$  and  $e'_2$  dominated edges by  $e_1$  and  $e_2$  respectively. As  $lab(e) = lab(e')$ , and  $T$  is a directed model it follows that  $e'_1, e'_2 \in T[H_1, H_2]$ .

In case that  $e'_1$  is incident to  $H_2$ , by Lemma 2.1.1.ii there is  $x \in lab(e'_1)$  such that  $x \in H_1 \cap H_2$ . Therefore,  $a_1$  and  $a_2$  are linked by a special connection of Type 1. Analogously if  $e'_2$  is incident to  $H_1$ .

Finally, suppose  $e'_1$  and  $e'_2$  are not incident to  $H_1$  neither  $H_2$  respectively. As  $e'_1 \in T[A_2, Q]$ ,  $e'_2 \in T[A_1, Q]$  and  $lab(e'_2) \subset A_2$  it follows that  $lab(e'_2) \subset lab(e'_1)$ . Similarly,  $lab(e'_1) \subset lab(e'_2)$ . Hence  $lab(e'_1) = lab(e'_2)$ . By the choice of  $e$  and  $e'$ , if  $e'_2$  is between  $e$  and  $H_1$  then  $e'_1$  is between  $e'$  and  $Q$ . In this case  $lab(e) \subseteq lab(e'_1) = lab(e'_2)$  and  $lab(e'_1) = lab(e'_2) \subseteq lab(e)$  then  $lab(e'_1) = lab(e)$ . Thus  $lab(e'_1) = lab(e) = lab(e') = lab(e'_2)$ . As a consequence of Lemma 2.1.2,  $e'_1 = e'$  and  $e'_2 = e$ . Therefore, every vertex in  $lab(e'_1) = lab(e'_2)$  is in  $A_1 \cap A_2$  and there exist  $x, y \in A_1 \cap A_2$  where  $x \in H_1$  and  $y \in H_2$ . Among all  $x \in H_1 \cap A_2$ , we choose one that maximizes  $|C_x|$ . Analogously for  $y$ . If  $x \in H_2$  or  $y \in H_1$  then  $a_1$  and  $a_2$  are linked by a special connection of Type 1.

Suppose  $x \in H_1 \setminus H_2$  and  $y \in H_2 \setminus H_1$ . By Lemma 2.1.1.ii, there are  $x_1 \neq x$  and  $x_2 \neq y$  with  $x_1 \in H_1 \cap A_1$  and  $x_2 \in H_2 \cap A_2$  respectively. Among all the  $x_1 \in H_1 \cap A_1$ , we choose one minimizing  $|C_{x_1}|$ . Analogously for  $x_2$ . If  $x_1$  and  $x_2$  are adjacent then some of them would be in  $lab(e'_1)$ . Suppose that  $x_1 \in lab(e'_1)$  then  $x_1 \in lab(e_2)$  and  $x_1 \in H_1 \cap A_2$ . By the choice of  $x_1$ ,  $C_x = C_{x_1}$  so  $x$  and  $x_1$  are twins in  $G$ , a contradiction. Therefore  $x_1$  and  $x_2$  are not adjacent. Thus  $a_1$  and  $a_2$  are linked by a

special connection of Type 2.

3) If  $e'_1 = H_2A_2$ , by Lemma 2.1.1.ii, there is  $x \in H_1 \cap H_2$ . Thus there is a special connection of Type 1. Otherwise,  $e'_1 \in T(H_2, Q]$  so there exists  $e'_2$ , and it must be in  $T[H_1, Q]$  due to the fact that  $G$  is a directed path graph. Then  $e'_2 = H_2A_2$  or  $lab(e'_1) = lab(e'_2)$ . By 2), there is a special connection of Type 1 or Type 2. ■

In [CHL09] has been proved that if  $G$  is a directed path graph that has an asteroidal quadruple linked by a special connection then  $G$  is not a rooted directed path graph. The following theorem prove the converse for extended star directed path graphs.

**Theorem 3.** Let  $G$  be an extended star directed path graph, minimally non rooted path graph. Then  $l(G) = 4$  and there is an extended star directed model that reaches the leafage. Moreover, there is an asteroidal quadruple  $a_1, a_2, a_3, a_4$  such that  $a_i, a_j$  and  $a_k, a_l$  are linked by a special connection of Type 1 or Type 2 where  $\{i, j, k, l\} = \{1, 2, 3, 4\}$ .

**Proof.** Let  $T$  be an extended star directed model of  $G$  and  $Q$  be the vertex of degree at least three in  $T$ . By Theorem 1,  $l(G) > 3$  then  $T$  has at least four leaves. Let  $H_1, \dots, H_n$  be the leaves of  $T$  and  $a_i \in H_i$  be the simplicial vertices of  $H_i$  for  $i = 1, \dots, n$ . By Theorem 2.1,  $G \setminus H_i$  is a connected graph for  $i = 1, \dots, n$ . Therefore  $a_1, \dots, a_n$  is an asteroidal  $n$ -tuple. Suppose that  $n > 4$ . If  $|V(T)| = n + 1$  then  $H_iQ$  are the edges of  $T$  for  $i = 1, \dots, n$ . As  $G$  is non rooted path graph it follows that  $T$  can not be rooted. Thus there are two vertices  $x, y$  of  $G$  and four leaves  $H_1, H_2, H_3, H_4$  of  $T$  such that  $x \in H_1 \cap H_2$  and  $y \in H_3 \cap H_4$ . Clearly  $a_1, a_2$  and  $a_3, a_4$  are linked by a special connection of Type 1 in  $G$ . Let  $G_1 = G \setminus \{a_5, \dots, a_n\}$ . Since  $a_5, \dots, a_n$  are simplicial vertices of  $G$  it follows that  $G_1$  is a connected graph. As  $G \setminus H_i$  is a connected graph for all  $i \in \{1, \dots, n\}$ ,  $G_1 \setminus H_i$  is also a connected graph for  $i = 1, 2, 3, 4$ . Hence in every model of  $G_1$ ,  $H_1, H_2, H_3, H_4$  are leaves. On the other hand,  $G_1$  is a rooted path graph then there is a rooted model  $T'_1$  of  $G_1$ . But there

exist  $x \in H_1 \cap H_2$  and  $y \in H_3 \cap H_4$  such that  $T'_{1x} = T[H_1, H_2]$  and  $T'_{1y} = T[H_3, H_4]$ . Hence  $T'_1$  can not be rooted, a contradiction.

In case that  $|V(T)| > n + 1$  then there is at least three vertices on  $T[H_i, Q]$  for some  $i \in \{1, \dots, n\}$ . Suppose that  $i = 1$ . Clearly there is  $e_1 \in T[H_1, Q]$  and by Lemma 2.1.1.i,  $e_1$  has a dominated edge outside  $T[H_1, Q]$ . As  $T$  is a star model then there is  $j \neq 1$  such that  $e'_1 \in [H_j, Q]$ . Suppose that  $j = 2$ . By Theorem 2 there is a special connection of Type 1 or 2 between  $a_1$  and  $a_2$ . It follows that  $T(a_1, a_2)$  is a directed path. Moreover as  $lab(e'_1) \subset lab(e_1)$  and by Lemma 2.1.ii there is  $x \in lab(e'_1) \cap H_1$ .

In case that there is  $i \neq 1, 2$  such that  $|V(T[H_i, Q])| > 2$  then there is  $e_i \in T[H_i, Q]$ . By Lemma 2.1.1.i, there is  $j \neq i$  such that  $e'_i \in T[H_j, Q]$ , and by Theorem 2, there is a special connection of Type 1 or 2 between  $a_i$  and  $a_j$ . As there is  $x \in lab(e'_1) \cap H_1$  and  $G$  is a directed path graph,  $e'_i \notin T[H_1, Q]$  so  $j \neq 1$ . Since  $e'_1 \in T[H_2, Q]$  so  $j \neq 2$ . Suppose that  $i = 3$  and  $j = 4$ . Let  $G_1 = G \setminus \{a_5, \dots, a_n\}$ . As  $G \setminus H_i$  is a connected graph for all  $i \in \{1, \dots, n\}$  then  $G_1 \setminus H_i$  is also a connected graph for  $i = 1, 2, 3, 4$ . Hence in every model of  $G_1$ ,  $H_1, H_2, H_3, H_4$  are leaves. On the other hand,  $G_1$  is a rooted path graph then there is a rooted model  $T'_1$  of  $G_1$ . But there are special connections of Type 1 or Type 2 between  $a_1, a_2$  and  $a_3, a_4$  in  $G_1$ . Thus  $T'_1(a_1, a_2)$  and  $T'_1(a_3, a_4)$  are directed path. Thus  $T'_1$  can not be rooted, a contradiction.

In case that for all  $i \neq 1, 2$ ,  $|V(T[H_i, Q])| = 2$ , as  $T(a_1, a_2)$  is a directed path and  $T$  is not a rooted model, there are  $i, j \neq 1, 2$  and  $y$  a vertex of  $G$  such that  $y \in H_i \cap H_j$ . Suppose that  $i = 3$  and  $j = 4$ . It follows that there is a special connection of Type 1 between  $a_3$  and  $a_4$  in  $G$ . Thus  $T(a_3, a_4)$  is a directed path. Let  $G_1 = G \setminus \{a_5, \dots, a_n\}$ . As  $G \setminus H_i$  is a connected graph for all  $i \in \{1, \dots, n\}$  then  $G_1 \setminus H_i$  is a connected graph for  $i = 1, 2, 3, 4$ . Hence in every model of  $G_1$ ,  $H_1, H_2, H_3, H_4$  are leaves. On the other hand,  $G_1$  is a rooted path graph then there is a rooted model  $T'_1$  of  $G_1$ . But there exists a special connection of Type 1 or 2 between  $a_1$  and  $a_2$  and a special connection of Type 1 between  $a_3$  and  $a_4$  in  $G_1$ . It is follows that  $T'_1(a_1, a_2)$  and  $T'_1(a_3, a_4)$  are directed path. Hence  $T'_1$  can not



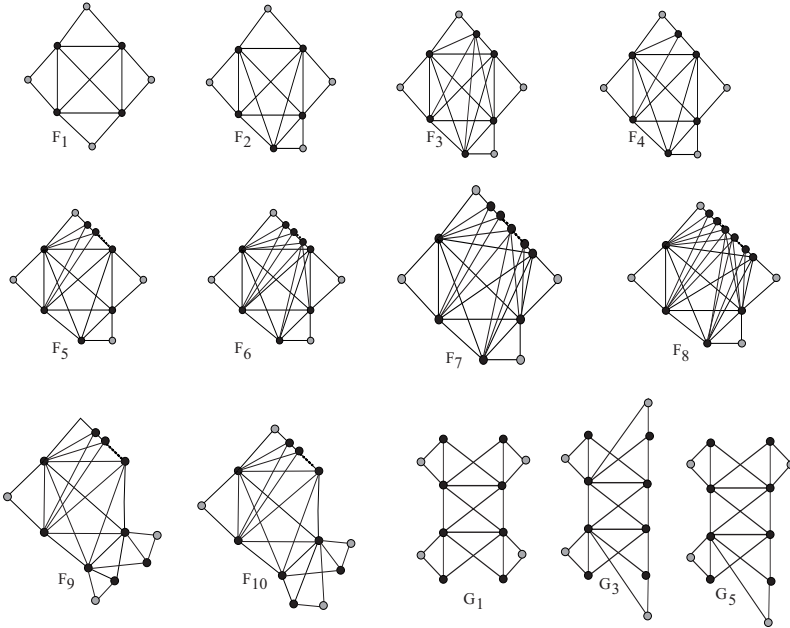


Figure 1: Family of forbidden induced subgraphs

be rooted, a contradiction. Therefore  $l(G) = 4$ .

■

It is easy to check that graphs shown in Figure 1 are extended star directed path graphs minimally non rooted directed path graphs. Finally, in order to build the family of forbidden induced subgraphs of the class of extended star directed path graphs non rooted directed path graphs, we take an extended star directed tree that has four leaves and we analyze all special connection of Type 1 and Type 2. Then, we obtain the following corollary.

**Corollary 1.** A graph is an extended star directed path graph non rooted path graph if and only if it contains one of the graphs in the families:  $F_1, \dots, F_{10}, G_1, G_3, G_5$  as an induced subgraph.

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